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## **Nitrogen-based lung clearance index : a valid physiological biomarker for the clinic.**

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**Running title:** N<sub>2</sub>-based LCI as a meaningful physiological biomarker

## ABSTRACT

Multiple breath washout (MBW) testing is increasingly used as a physiological measurement in the clinic, due in part to the availability of commercial equipment and reference values for MBW indices. Commercial N<sub>2</sub> washout devices are usually based on indirect measurement of N<sub>2</sub> concentration (C<sub>N2</sub>), by directly measuring either molar mass and O<sub>2</sub> and CO<sub>2</sub>, or molar mass and CO<sub>2</sub>. We aim to elucidate the role of two potential pitfalls associated with N<sub>2</sub>-MBW testing that could override its physiological content: indirect N<sub>2</sub> measurement and blood-solubility of N<sub>2</sub>. We performed MBW in 12 healthy adult subjects using a commercial device (MBW<sub>indirect</sub>) with simultaneous direct gas concentration measurements by mass spectrometry (MBW<sub>direct</sub>) and compared C<sub>N2</sub> between MBW<sub>direct</sub> and MBW<sub>indirect</sub>. We also measured argon concentration during the same washouts to verify the maximal effect gas solubility can have on N<sub>2</sub>-based functional residual capacity (FRC) and lung clearance index (LCI). Continuous N<sub>2</sub> concentration traces were very similar for MBW<sub>indirect</sub> and MBW<sub>direct</sub>, resulting in comparable breath-by-breath washout plots of expired concentration and in no significant differences in FRC<sub>N2</sub>, LCI<sub>N2</sub>, S<sub>cond</sub> and S<sub>acin</sub> between the two methods. Argon washouts were slightly slower than N<sub>2</sub> washouts, as expected for a less diffusive and more soluble gas. Finally, comparison between LCI<sub>N2</sub> and LCI<sub>Ar</sub> indicates that the maximum impact from blood-tissue represents less than half a LCI unit in normal subjects. In conclusion, we have demonstrated by direct measurement of N<sub>2</sub> and twice as soluble argon, that indirect N<sub>2</sub> measurement can be safely used as a meaningful physiological measurement.

**New and noteworthy:** The physiological content of N<sub>2</sub> multibreath washout testing has been questioned due to N<sub>2</sub> indirect measurement accuracy and N<sub>2</sub> blood solubility. With direct measurement of N<sub>2</sub> and twice as soluble argon, we show that these effects are largely outweighed by ease of use.

**Keywords:** Multiple breath washouts, nitrogen, lung clearance index

## INTRODUCTION

Multiple breath washout (MBW) testing and derived lung clearance index (LCI) are increasingly being used as a physiological measurement in the clinic, due in part to the availability of commercial equipment, and to emerging reference values for N<sub>2</sub>- and SF<sub>6</sub>-based LCI (1, 2). The ease of implementing MBW in the clinic with ubiquitous availability of pure oxygen could be considered in favor of LCI measurement based on N<sub>2</sub> washout, but potential issues with N<sub>2</sub> measurement have led authors to promote the use of SF<sub>6</sub> instead (3). Comparative studies generally show functional residual capacity (FRC) which is smaller for SF<sub>6</sub> than for N<sub>2</sub> and an LCI for SF<sub>6</sub> that is either smaller or similar to LCI for N<sub>2</sub> (4-7). Four effects have been identified that potentially distinguish N<sub>2</sub> from SF<sub>6</sub> washout: (a) a more diffusive gas (i.e., N<sub>2</sub>) washes out faster than a heavier less diffusive gas (i.e., SF<sub>6</sub>) (8); (b) a blood-tissue soluble gas (i.e., N<sub>2</sub>) contributes to the tail end of the washout (9-11); (c) an exogenous gas (i.e., SF<sub>6</sub>) washed in prior to washout, may wash out faster because less ventilated lung units are at relatively lower initial concentration (12); (d) indirect gas measurement may generate an erroneous zero baseline (5, 6).

Currently, commercial N<sub>2</sub> washout devices are usually based on indirect measurement of N<sub>2</sub> concentration (C<sub>N2</sub>), by directly measuring either molar mass and O<sub>2</sub> and CO<sub>2</sub>, or molar mass and CO<sub>2</sub> (13). In an attempt to elucidate the role of two potential pitfalls associated with N<sub>2</sub>-MBW testing, i.e., indirect N<sub>2</sub> measurement and blood-solubility of N<sub>2</sub>, we compared C<sub>N2</sub> from a commercial MBW device using molar mass and CO<sub>2</sub> measurement, with direct measurement by mass spectrometry. In addition, we measured argon concentration (C<sub>Ar</sub>) during the same washout, because argon is also lung resident, has similar diffusion coefficient (factor ~1.2), but is

twice as soluble as  $N_2$ . This allowed us to verify the maximal effect gas solubility can have on  $N_2$ -based FRC and LCI.

## METHODS

This study was approved by the University of California, San Diego's Human Subjects Research Protection Program. Subjects participated after giving written, informed consent.

MBW were performed in triplicate in 12 healthy adult subjects (6M/6F; age:  $50 \pm 13$ (SD)yr) with 1-liter tidal breathing using a commercial device (EasyOne proLAB™, Wbreath 3.55, ndd MedizintechnikAG, Zurich, Switzerland) referred thereafter as  $MBW_{indirect}$ . Simultaneous direct gas concentration measurements ( $MBW_{direct}$ ) were obtained by inserting a mass-spectrometer (Perkin-Elmer MGA1100, Pomona, CA) sampling line in the mouthpiece. Gas concentrations were acquired from the mass-spectrometer at 200 Hz using an analog-to-digital converter and dedicated computer software. Owing to the linear response of the mass-spectrometer (14), a two-point gas calibration was performed with 100%  $O_2$  and a certified gas mixture of 9760ppm Ar, 4.99%  $CO_2$ , 16%  $O_2$ , balance  $N_2$ .  $N_2$  concentration data from the commercial device is based on molar mass ultrasonic measurement and a  $CO_2$  sensor; for the latter a correction is applied based on estimated  $O_2$  concentration as described in the manufacturer documentation on the EasyOne Pro LAB Measurement Technology Background (15). The manual  $CO_2$  gain option was used and set to gain = 1, thereby avoiding potential inaccuracies that can arise when using a respiratory-quotient-based adjustment of the  $CO_2$  sensor (16). Flow and volume data were obtained from the commercial device. Gas concentration data from the commercial device and

the mass-spectrometer were aligned by matching tracings of  $\text{CO}_2$  concentration ( $C_{\text{CO}_2}$ ) at 50% of the maximum  $C_{\text{CO}_2}$  in the transition from expiration to inspiration as illustrated by the arrow in Figure 1. In doing so, concentration traces for  $\text{N}_2$  were properly aligned throughout the washout, as can be appreciated from the example in Figure 2. Hence, it was not deemed necessary to attempt a correction for the potential impact of change in gas viscosity throughout the washout for the purpose of this study.

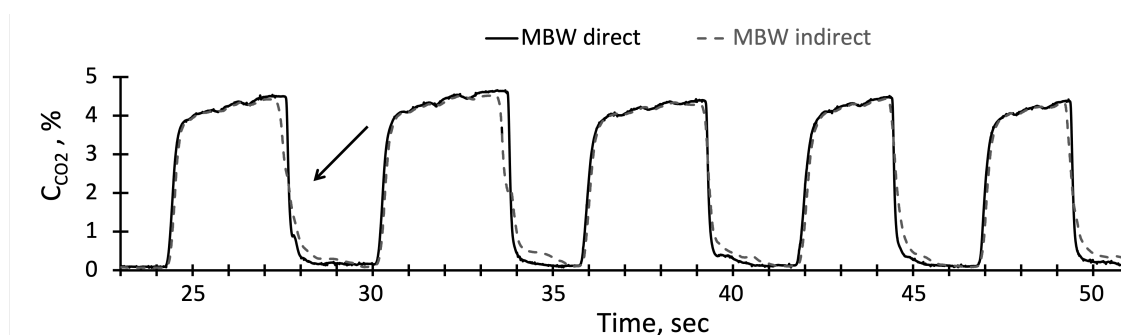


Figure 1. Alignment of gas concentration traces from the mass-spectrometer ( $\text{MBW}_{\text{direct}}$ ) and the commercial device ( $\text{MBW}_{\text{indirect}}$ ) by matching the  $C_{\text{CO}_2}$  at 50% of maximal  $C_{\text{CO}_2}$  in the transition from the first expiration of the MBW test to the next inspiration (see arrow).

*Data analysis.* Data were analyzed using WBreath v3.57 (NDD Medical Technologies, Switzerland) and code implemented in Matlab (Matlab R2020b, The Mathworks, Natick, MA). Mean expired and end-tidal gas concentrations were computed from flow and gas concentration data. FRC was determined from mass balance as the net volume of cumulative expired nitrogen down to the point where expired  $C_{\text{N}_2}$  falls below  $1/40$  of initial end-tidal concentration divided by the difference between the initial and final concentrations of the gas. LCI was calculated from mean expired  $C_{\text{N}_2}$ , end-tidal  $C_{\text{N}_2}$  and also from mean expired  $C_{\text{Ar}}$ , where the intercept with the  $1/40^{\text{th}}$  level was determined by linear regression of the concentrations versus turnover (TO) on the two breaths before and after that at which concentration falls below the  $1/40^{\text{th}}$  line. We also

quantified the continuing rate of FRC increase at the 1/40<sup>th</sup> level of pre-test end-tidal concentration as a means to assess the continued effect of gas stored in blood and tissues on MBW indices at the washout level where LCI is determined. This was done by a regression of FRC versus time on the two breaths before and two breaths after the 1/40<sup>th</sup> level. Finally, indices of acinar ( $S_{acin}$ ) and conductive ( $S_{cond}$ ) ventilation heterogeneity were derived from an alveolar slope analysis of both  $MBW_{indirect}$  and imported  $MBW_{direct}$  N<sub>2</sub> concentration trace (17).

To help understand the experimental results, we also determined the breath-by-breath nitrogen dilution of a perfectly mixed gas with an initial  $C_{N_2}$  at 78% during 100% O<sub>2</sub> 1L tidal breathing in a 3L two-compartment lung model without any dead space. A 40% and 55% partitioning of respectively FRC and tidal volume (VT) was introduced to produce a specific ventilation or turnover of one compartment ( $55\%VT/40\%FRC = 1.38 VT/FRC$ ) almost twice that of the other one ( $45\%VT/60\%FRC = 0.75 VT/FRC$ ), typical of ventilation distribution between gravity-dependent upper and lower lung regions. Breath duration was 4s and soluble gas excretion rate was that provided by Lundin (18) for N<sub>2</sub> (i.e.,  $37.3 * e^{(-0.45 t)} + 13.9 e^{(-0.056 * t)} + 4.82 e^{(-0.0054 * t)}$  where t is time expressed in minutes).

*Statistical analysis.* All data are expressed as means  $\pm$  SD. Paired-student's t-test was used to evaluate the difference between  $MBW_{direct}$  and  $MBW_{indirect}$ -derived FRC, LCI,  $S_{cond}$  and  $S_{acin}$  measurements, and also to evaluate the difference between MBW indices derived from nitrogen and argon gas concentrations. Significance was accepted at  $P < 0.05$ , two-tailed.



## RESULTS

Continuous N<sub>2</sub> concentration traces were very similar for MBW<sub>indirect</sub> and MBW<sub>direct</sub> (Figure 2a), resulting in comparable breath-by-breath washout plots of mean expired (Figure 2b) or end-tidal N<sub>2</sub> concentration (Figure 2c). In addition, mean expired argon washouts were slightly slower than mean expired N<sub>2</sub> washouts, as expected for a less diffusive and more soluble gas. It should be noted that, although argon tracings were noisier than that of N<sub>2</sub>, the signal-to-noise ratio (SNR) was still high enough to provide meaningful results. Indeed, the SNR at an argon concentration of 0.976 (i.e., at the start of the MBW) was 1:180 and dropped to 1:5 at 1/40<sup>th</sup> of the starting argon concentration, a SNR value still above 3, i.e., the typical threshold value for detectable signals.

There were no significant differences in FRC<sub>N2</sub> (average $\pm$ SD) measured with MBW<sub>direct</sub> ( $2.74 \pm 0.67$  L) or with MBW<sub>indirect</sub> ( $2.70 \pm 0.70$  L;  $p=0.3$ ) (Figure 3a). The same was true when comparing LCI<sub>N2</sub> computed from mean expired C<sub>N2</sub> (MBW<sub>direct</sub>:  $6.09 \pm 0.43$  vs. MBW<sub>indirect</sub>:  $6.02 \pm 0.36$ ;  $p=0.3$ ) (Figure 3b) or from end-tidal C<sub>N2</sub> (MBW<sub>direct</sub>:  $6.74 \pm 0.53$  vs. MBW<sub>indirect</sub>:  $6.63 \pm 0.39$ ;  $p=0.6$ ) (Figure 3c). There were no significant differences in S<sub>cond</sub> (Figure 3d) and S<sub>acin</sub> (Figure 3e) derived from MBW<sub>direct</sub> or MBW<sub>indirect</sub> N<sub>2</sub> traces (S<sub>cond</sub>:  $0.033 \pm 0.015$  L<sup>-1</sup> for MBW<sub>direct</sub> vs.  $0.032 \pm 0.016$  L<sup>-1</sup> for MBW<sub>indirect</sub>,  $p=0.1$ ; S<sub>acin</sub>:  $0.089 \pm 0.056$  L<sup>-1</sup> for MBW<sub>direct</sub> vs.  $0.092 \pm 0.054$  L<sup>-1</sup> for MBW<sub>indirect</sub>,  $p=0.5$ ). Considering the more soluble argon, FRC<sub>Ar</sub> was  $2.87 \pm 0.69$  L and LCI for mean expired C<sub>Ar</sub> was  $6.52 \pm 0.50$ . Finally, the rate of FRC increase (dFRC/dt) at the 1/40<sup>th</sup> level was  $2.0 \pm 0.8$  mL/s for N<sub>2</sub> and  $3.1 \pm 1.0$  mL/s for Ar.

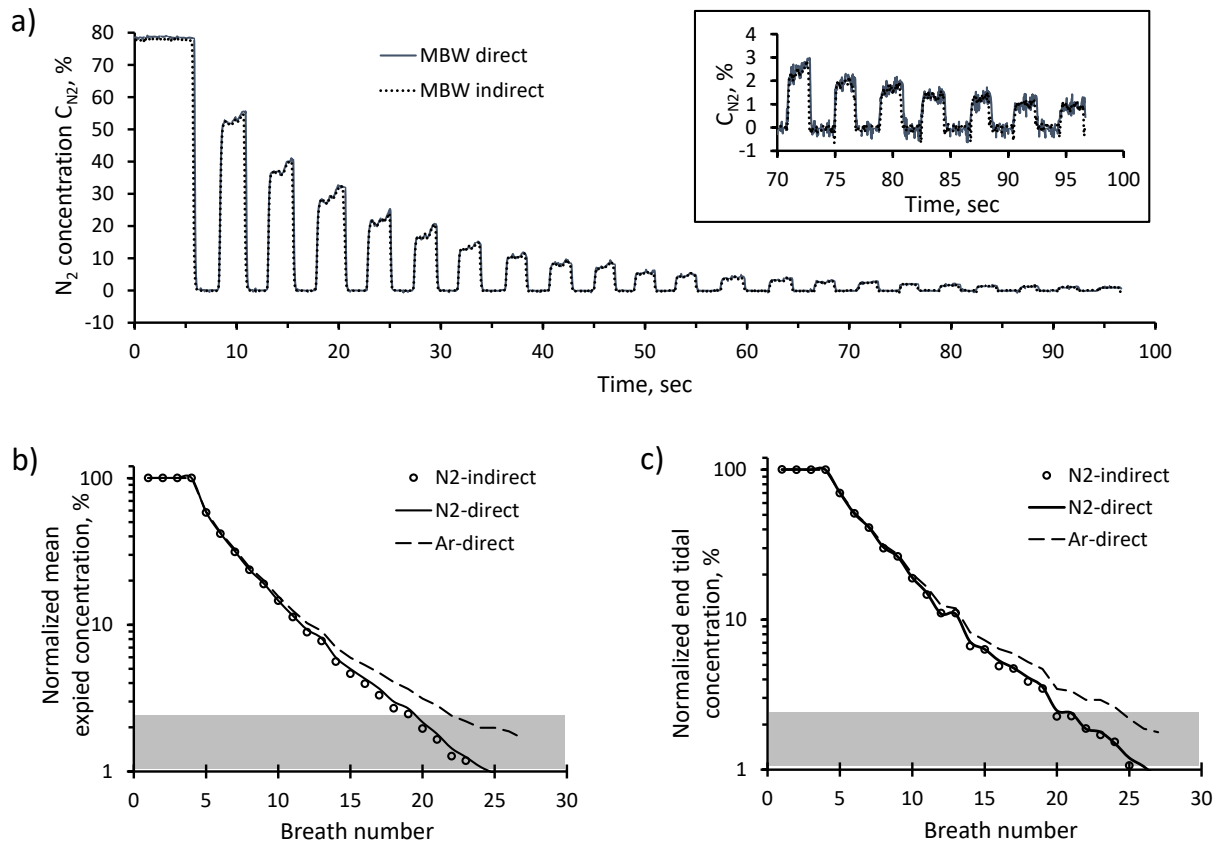


Figure 2. Raw  $N_2$  concentration curves (panel a) and derived washout plots (panel b: mean expired  $N_2$  concentration; panel c: end-tidal  $N_2$  concentration) of a typical MBW test as measured by mass spectrometry ( $N_2$ -direct) and by the commercial device ( $N_2$ -indirect). Mean expired and end-tidal expired argon washout plots (Ar-indirect) are also shown in panels b and c, respectively, where all concentrations are normalized to pretest concentration with the grey area indicating concentration below 1/40th of pre-test concentration.

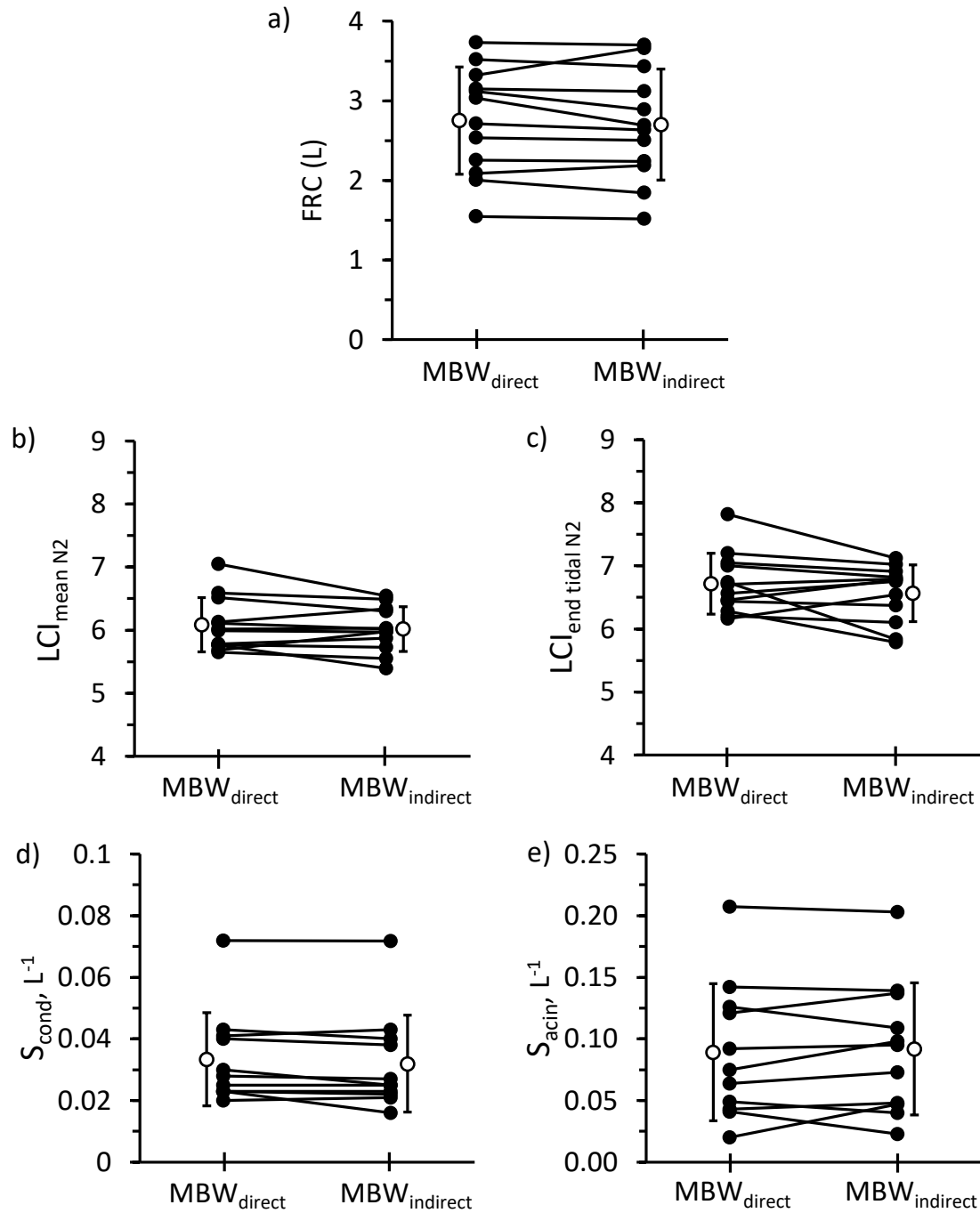


Figure 3. Comparison between MBW indices derived from data acquired by the mass spectrometer ( $MBW_{direct}$ ) and by the commercial device ( $MBW_{indirect}$ ): a) FRC, b) LCI derived from mean expired  $N_2$  concentrations, c) LCI derived from end-tidal  $N_2$  concentrations, d)  $S_{cond}$ , e)  $S_{acin}$ . Individual data are shown by solid symbols ( $\bullet$ ). Data averaged over all subjects (mean  $\pm$  SD,  $n=12$ ) are shown by open symbols.

**Table1.** Predicted N<sub>2</sub> concentrations in 2 compartments of the 3L lung model during 1L tidal breathing with 100% O<sub>2</sub>.

Time	Breath	combined compartments 1&2								combined compartments 1&2				combined compartments 1&2			
		compartment 1		compartment 2		No soluble gas excretion				N <sub>2</sub> excretion (14)				Double N <sub>2</sub> excretion (14)			
		C <sub>N2,1</sub>	FRC <sub>estim,1</sub>	C <sub>N2,2</sub>	FRC <sub>estim,2</sub>	TO	C <sub>N2</sub>	FRC <sub>estim</sub>	dFRC <sub>estim</sub> /dt	TO	C <sub>N2</sub>	FRC <sub>estim</sub>	dFRC <sub>estim</sub> /dt	TO	C <sub>N2</sub>	FRC <sub>estim</sub>	dFRC <sub>estim</sub> /dt
(s)	nb	(%)	(ml)	(%)	(ml)	(ml)	(%)	(ml/s)	(ml)	(%)	(ml/s)	(ml/s)	(ml)	(%)	(ml/s)		
0	0	78.0		78.0		0.00	78.0			0.00	78.0			0.00	78.0		
4	1	53.5	1200	62.4	1800	0.34	57.5	2804		0.33	57.9	2873		0.32	58.2	2945	
8	2	36.7	1200	49.9	1800	0.67	42.6	2831	6.5	0.66	43.0	2881	3.5	0.64	43.4	2932	0.3
12	3	25.1	1200	39.9	1800	1.01	31.8	2856	5.8	0.98	32.2	2901	5.2	0.96	32.5	2947	4.5
16	4	17.2	1200	31.9	1800	1.34	23.9	2878	5.1	1.31	24.2	2923	5.2	1.28	24.5	2968	5.2
20	5	11.8	1200	25.6	1800	1.68	18.0	2897	4.5	1.64	18.3	2943	4.8	1.60	18.7	2989	5.2
24	6	8.1	1200	20.4	1800	2.01	13.7	2914	3.9	1.97	14.0	2961	4.4	1.91	14.3	3009	4.9
28	7	5.6	1200	16.4	1800	2.35	10.4	2928	3.3	2.29	10.7	2978	3.9	2.23	11.1	3028	4.6
32	8	3.8	1200	13.1	1800	2.68	7.99	2940	2.8	2.62	8.30	2993	3.5	2.55	8.62	3046	4.2
36	9	2.6	1200	10.5	1800	3.02	6.15	2951	2.4	2.95	6.46	3006	3.1	2.87	6.77	3062	3.8
40	10	1.8	1200	8.4	1800	3.35	4.75	2960	2.0	3.28	5.06	3018	2.7	3.19	5.37	3077	3.5
44	11	1.2	1200	6.7	1800	3.69	3.69	2967	1.7	3.60	3.99	3028	2.4	3.51	4.29	3090	3.2
48	12	0.8	1200	5.4	1800	4.02	2.88	2973	1.4	3.93	3.17	3037	2.2	3.83	3.46	3102	2.9
52	13	0.6	1200	4.3	1800	4.36	2.25	2978	1.1	4.26	2.54	3045	1.9	4.15	2.82	3113	2.7
56	14	0.4	1200	3.4	1800	4.69	1.76	2982	0.9	4.59	2.05	3053	1.7	4.47	2.33	3124	2.5
60	15	0.3	1200	2.7	1800	5.03	1.38	2985	0.8	4.91	1.66	3059	1.5	4.79	1.94	3133	2.3
64	16	0.2	1200	2.2	1800	5.37	1.09	2988		5.24	1.36	3065		5.11	1.64	3142	

nb: number, TO: turnover (based on FRC from first breath below 1/40<sup>th</sup> level); bold numbers in grey areas indicate the breaths bracketing the 1/40<sup>th</sup> level of 78% initial concentration (i.e., 1.95% N<sub>2</sub>).

Table 1 shows an example of simple dilution of a perfectly mixed gas with an initial C<sub>N2</sub> at 78% in a two-compartment model with a specific ventilation of one compartment double that of the other one. Corresponding concentration curves versus lung turnover (Figure 4a) and estimated FRC (FRC<sub>estim</sub>) curves versus time (Figure 4b) illustrate the effect of N<sub>2</sub> excretion and the impact of the presence of ventilation heterogeneity. Similar predictions were also obtained in the absence of ventilation heterogeneity (Figures 4c and 4d). In the homogeneous case, FRC<sub>estim</sub> corresponds to the actual FRC of the model and dFRC<sub>estim</sub>/dt is zero in the absence of added gas excretion from blood-tissues. With the addition of a soluble gas at a rate provided by Lundin for N<sub>2</sub> (18) and at twice that rate (i.e. at a rate similar to that expected for argon), both FRC<sub>estim</sub> and

$dFRC_{\text{estim}}/dt$  increase but the latter does not necessarily increase in proportion to excretion rate.

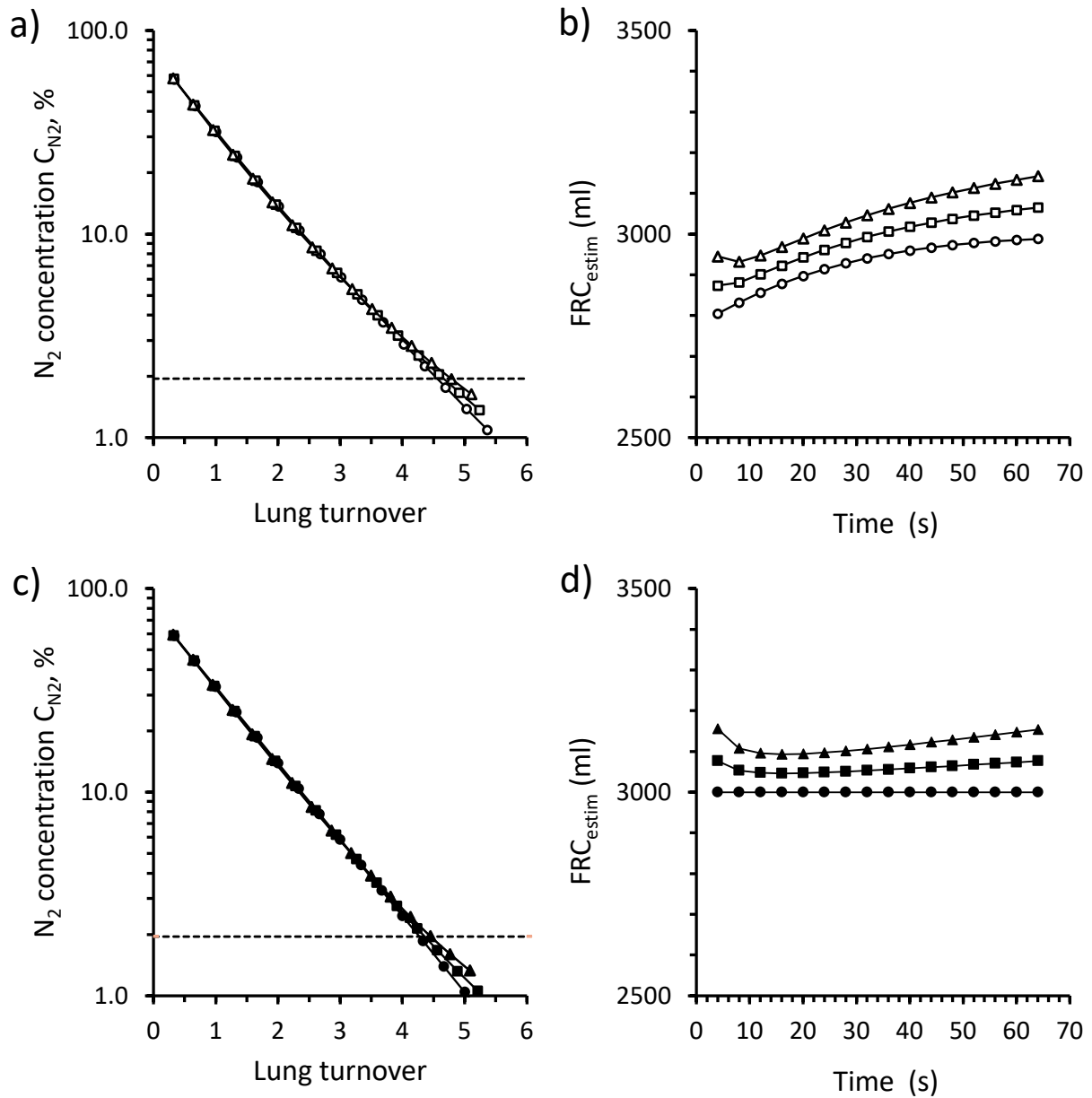


Figure 4. Dilution curves of  $N_2$  concentration versus lung turnover (panels a,c) and estimated FRC ( $FRC_{\text{estim}}$ ) versus time (panels b,d) for a homogeneously ventilated lung (panels c,d) and a heterogeneously ventilated one (panels a,b with corresponding numbers shown in Table 1); open symbols: heterogeneous model, closed symbols: homogeneous model. Each panel shows simulations assuming no gas excretion from blood and tissue (circles); gas excretion at a rate according to Lundin (18) (squares) and at twice that rate (triangles).

## DISCUSSION

When the lung clearance index was first introduced as a physiological measurement in the 1950s, it was based on direct  $N_2$  measurement (19, 20). Despite being acknowledged as a sensitive marker of ventilation distribution, routine clinical use of LCI has been hampered by the high cost or complexity of direct gas measurement techniques. Over the past decades, the availability of affordable and easy-to-use commercial devices based on indirect  $N_2$  measurement has led to a regained interest in the use of LCI in clinical applications. However, it has been suggested that indirect  $N_2$  measurement and  $N_2$  stored in blood-tissue could both perturb and invalidate  $N_2$ -based LCI measurement (3). The present experimental data indicate otherwise. The excellent agreement between  $N_2$  data from our commercial device and mass spectrometry (Figure 2) suggests that it is possible to obtain valid  $N_2$ -based MBW tests for LCI monitoring in the clinic. Importantly, experimental LCI values obtained here with  $N_2$  or with twice as soluble Argon also indicates that the maximum impact from blood-tissue represents less than half a LCI unit in normal subjects.

Indirect  $N_2$  calculation, while attractive, relies heavily on the accuracy of oxygen and  $CO_2$  measurements as small errors in  $C_{O_2}$  and  $C_{CO_2}$  can result in significant error in  $C_{N_2}$ , in particular towards the tail end of the test, which is critical to LCI determination particularly in disease (5, 6, 21). Recent studies using the EXHALYZER D<sup>®</sup> (Eco Medics, Duernten, Switzerland) showed markedly improved accuracy of FRC and LCI from  $N_2$  MBW data when the interaction between  $CO_2$  and  $O_2$  sensors was corrected for, based on calibrated  $CO_2$  and  $O_2$  gas mixtures (21, 22). This correction removed a technical offset error that artificially prolonged the washouts, an issue that

is exacerbated by disease with long washout tails. While the device used here (EasyOne proLAB™) does account for the O<sub>2</sub> sensitivity of the CO<sub>2</sub> sensor which is used in addition to the molar mass ultrasonic sensor, our group has recently identified that accuracy of indirect N<sub>2</sub> measurement greatly benefits from the use of molar mass values based on calibration gas measurements rather than theoretical values (23). Since we obtain excellent agreement with mass spectrometry over the entire concentration range (down to low concentrations), longer washout tails in diseased patients will also be properly captured. Depending on the lung disease at hand, one could imagine the blood and/or tissue compartment to be affected, resulting in an altered uptake of soluble gases. To test this, the use of Argon as laid out in this work could be helpful, ideally complemented by an independent assessment of the blood-tissue compartment itself.

The impact of N<sub>2</sub> excreted from blood and tissue on FRC and LCI has been a matter of concern. Simple dilution calculations described in Table 1 and Figure 4 indicate that FRC and the resulting LCI are overestimated by gas excreted from blood and tissues, and that this overestimation partly depends on the presence of ventilation heterogeneity. Nielsen et al (10) performed more elaborate simulations in a two-compartment lung model that also included assumptions about varying N<sub>2</sub> excretion rates from blood-tissues and muscle in each compartment (with matched perfusion and ventilation). With a dead space fraction of 0.3, their model predicted that blood-tissue excreted N<sub>2</sub> would overestimate FRC by 2% and LCI by 4.5% when considering homogeneous ventilation (10). Increases in dead space associated with lung disease would invariably result in greater predicted overestimation of FRC and LCI (with a

maximum of 7% error in LCI for a dead space fraction of 0.85), while the predicted effect of ventilation heterogeneity was biphasic, with a maximum of ~5% in the mid-range.

While model assumptions about the  $N_2$  gradients in different lung compartments are extremely difficult to verify experimentally, these simulations are nevertheless useful to interpret the experimental data. If we were to neglect the 1.2 greater diffusivity of  $N_2$  versus argon, and attribute the difference between our experimental  $LCI_{Ar}$  and  $LCI_{N_2}$  values entirely to gas solubility, then the 0.43 difference between  $LCI_{Ar}$  and  $LCI_{N_2}$  (i.e., 6.52-6.09) amounts to an overestimation of 7% ( $=0.43/6.09$ ). Similarly, if we consider that the 130ml difference between  $FRC_{N_2}$  and  $FRC_{Ar}$  ( $= 2.87\text{ L} - 2.74\text{ L}$ ) is solely due to argon being twice as soluble as  $N_2$ , one could speculate that the real FRC is at 2.61 L ( $= 2.74\text{ L} - 0.13\text{ L}$ ), which comes down to a 5% error on FRC ( $=0.13/2.61$ ). While some components of soluble gas-induced error will compensate each other (e.g., an overestimated FRC will attenuate the LCI overestimation) others will be a rather unpredictable balance between the contribution from the less ventilated  $N_2$ -rich compartments, which prolong the MBW washout but where the blood-gas  $N_2$  gradient is reduced, and the better ventilated ones with larger blood-gas  $N_2$  gradient.

The success of LCI determination hinges on good measurement accuracy towards the tail end of the washout plot, where concentrations are low. It has sometimes been suggested that beyond the  $1/40^{\text{th}}$  threshold, the estimate of FRC should no longer increase, and that if it does, this is a sign of erroneous measurement (6). However, the dilution data in Table 1 and Figure 4b clearly show that the mere presence of ventilation heterogeneity representative of gravity-



dependent specific ventilation between upper and lower lung regions, leads to a  $dFRC_{\text{estim}}/dt$  at the  $1/40^{\text{th}}$  threshold of approximately 1 ml/s. Taken together with the experimental  $dFRC_{\text{estim}}/dt$  of 2mL/s for  $N_2$  and of 3mL/s of Ar, this implies that the portion of  $dFRC_{\text{estim}}/dt$  attributable to  $N_2$  excreted from blood and tissue is probably about 1ml/s, a value consistent with predictions retrieved from early literature (11).

For use as a physiological parameter in the clinic, the key message from our experimental data is in line with that of earlier reports, which acknowledge a contribution of blood-tissue  $N_2$  but consider its maximal effect to be small enough and its actual effect too unpredictable, to recommend correcting for it (9, 11, 24). Considering the Ar washout, where LCI is already expected to be slightly greater due to the slightly less diffusive Ar - proportional to inverse square root of 40 g/mol (Ar) vs. 28 g/mol ( $N_2$ ) - the combined effect of diffusion with that from blood-tissue Ar, sets an upper limit for blood-tissue contribution. In an effort to align LCI outputs from different MBW devices, the mismatch between LCI for different diffusivity gases, e.g. (21), is sometimes viewed as a measure of mismatch between devices. This may not be strictly true since simultaneous He and  $SF_6$  washouts have shown distinct diffusion-dependent differences between these gases in normal subjects (25, 26) and the cross-over point between He and  $SF_6$  washout curves has even been proposed as a diagnostic parameter to detect smoking-induced lung changes (8, 27).

In addition to gas diffusive properties and blood solubility, washout curves and their associated LCI can be affected by whether exogenous gases such as  $SF_6$  are fully and

homogeneously washed in prior to washout. An exogeneous gas washin procedure has been proposed involving closed circuit rebreathing with CO<sub>2</sub> scrubbing (12) to attenuate this effect, but the corresponding simulations also showed that equilibration of gas concentration measured at the mouth may still correspond to considerable residual concentration heterogeneities inside the lungs. In such a case, the subsequent washout may be faster than it would have been in case of homogeneous test gas distribution at onset of washout, thus underestimating true LCI.

In conclusion, the potential impact of indirect measurement of N<sub>2</sub> and of N<sub>2</sub> excretion from the blood on LCI has led to concerns regarding the validity and usefulness of N<sub>2</sub> MBW testing. Here we have demonstrated by direct measurement of N<sub>2</sub> and twice as soluble argon, that indirect N<sub>2</sub> measurement is valid and that N<sub>2</sub> solubility effect on LCI is small.

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## FIGURE LEGENDS

Figure 1. Alignment of gas concentration traces from the mass-spectrometer ( $MBW_{direct}$ ) and the commercial device ( $MBW_{indirect}$ ) by matching the  $C_{CO_2}$  at 50% of maximal  $C_{CO_2}$  in the transition from the first expiration of the MBW test to the next inspiration (see arrow).

Figure 2. Raw  $N_2$  concentration curves (panel a) and derived washout plots (panel b: mean expired  $N_2$  concentration; panel c: end-tidal  $N_2$  concentration) of a typical MBW test as measured by mass spectrometry ( $N_2$ -direct) and by the commercial device ( $N_2$ -indirect). Mean expired and end-tidal expired argon washout plots ( $Ar$ -indirect) are also shown in panels b and c, respectively, where all concentrations are normalized to pretest concentration with the grey area indicating concentration below 1/40th of pre-test concentration.

Figure 3. Comparison between MBW indices derived from data acquired by the mass spectrometer ( $MBW_{direct}$ ) and by the commercial device ( $MBW_{indirect}$ ): a) FRC, b) LCI derived from mean expired  $N_2$  concentrations, c) LCI derived from end-tidal  $N_2$  concentrations, d)  $S_{cond}$ , e)  $S_{acin}$ . Individual data are shown by solid symbols ( $\bullet$ ). Data averaged over all subjects (mean  $\pm$  SD,  $n = 12$ ) are shown by open symbols.

Figure 4. Dilution curves of  $N_2$  concentration versus lung turnover (panels a,c) and estimated FRC ( $FRC_{estim}$ ) versus time (panels b,d) for a homogeneously ventilated lung (panels



c,d) and a heterogeneously ventilated one (panels a,b with corresponding numbers shown in Table 1); open symbols: heterogeneous model, closed symbols: homogeneous model. Each panel shows simulations assuming no gas excretion from blood and tissue (circles); gas excretion at a rate according to Lundin (18) (squares) and at twice that rate (triangles).