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Long-term exposure to fine particle elemental components and lung cancer incidence in the ELAPSE pooled cohort

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Abstract

Background

An association between long-term exposure to fine particulate matter (PM_{2.5}) and lung cancer has been established in previous studies. PM_{2.5} is a complex mixture of chemical components from various sources and little is known about which components contribute to the associated lung cancer risk. The present study builds on recent findings from the “Effects of Low-level Air Pollution: A Study in Europe” (ELAPSE) collaboration and addresses the potential association between specific elemental components of PM_{2.5} and lung cancer incidence.

Methods

We pooled seven cohorts from across Europe and assigned exposure estimates for eight components of PM_{2.5} representing non-tail pipe emissions (copper (Cu), iron (Fe), and zinc (Zn)), long-range transport (sulfur (S)), oil burning/industry emissions (nickel (Ni), vanadium (V)), crustal material (silicon (Si)), and biomass burning (potassium (K)) to cohort participants’ baseline residential address based on 100 m by 100 m grids from newly developed hybrid models combining air pollution monitoring, land use data, satellite observations, and dispersion model estimates. We applied stratified Cox proportional hazards models, adjusting for potential confounders (age, sex, calendar year, marital status, smoking, body mass index, employment status, and neighborhood-level socio-economic status).

Results

The pooled study population comprised 306,550 individuals with 3,916 incident lung cancer events during 5,541,672 person-years of follow-up. We observed a positive association between exposure to all eight components and lung cancer incidence, with adjusted HRs of 1.10 (95% CI 1.05, 1.16) per 50 ng/m³ PM_{2.5} K, 1.09 (95% CI 1.02, 1.15) per 1 ng/m³ PM_{2.5} Ni, 1.22 (95% CI 1.11, 1.35) per 200 ng/m³ PM_{2.5} S, and 1.07 (95% CI 1.02, 1.12) per 200 ng/m³ PM_{2.5} V. Effect estimates were largely unaffected by adjustment for nitrogen dioxide (NO₂). After adjustment for PM_{2.5} mass, effect estimates of K, Ni, S, and V were slightly attenuated, whereas effect estimates of Cu, Si, Fe, and Zn became null or negative.

Conclusions

Our results point towards an increased risk of lung cancer in connection with sources of combustion particles from oil and biomass burning and secondary inorganic aerosols rather than non-exhaust traffic emissions. Specific limit values or guidelines targeting these specific PM_{2.5} components may prove helpful in future lung cancer prevention strategies.

Keywords: air pollution, fine particulate matter, elemental components, lung cancer incidence, pooled cohort.

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1. Background

Previous studies have reported a positive relationship between long-term exposure to fine particulate matter (PM_{2.5}) and lung cancer incidence.^{1,2} Recently, we published* a study within the Effects of Low-level Air Pollution: A Study in Europe (ELAPSE) collaboration based on a large pooled cohort of more than 300,000 participants across Europe and close to 4,000 incident cases of lung cancer. The findings of this study indicated a positive association with PM_{2.5} even at levels lower than the EU limit value of 25 µg/m³ and possibly even below the WHO Air Quality Guideline value of 10 µg/m³.³

PM_{2.5} originates from various different sources and contains a complex mixture of chemical components. Primary particles are emitted from wood stove burning, brake and tyre wear, gasoline and diesel engines, and industry while secondary particles are formed from chemical reactions in the atmosphere. Limited knowledge exists about which PM_{2.5} components contribute to the associated lung cancer risk. Only four previous studies have addressed the influence of specific PM components on the incidence or mortality of lung cancer. Three American cohort studies have reported positive associations between long-term exposure to sulfate (SO₄) or sulfur and lung cancer mortality.⁴⁻⁶ The exposure data in these studies were derived from centrally located air-monitoring stations. The large European Study of Cohorts for Air Pollution Effects (ESCAPE) applied a land use regression model for exposure assessment and investigated eight PM elements with sulfur (S) representing long-range transport of particles from combustion of sulfur-containing fossil fuels (e.g. in power plants), copper (Cu), iron (Fe), and zinc (Zn) representing non-tail pipe emissions, nickel (Ni) and vanadium (V) representing mixed oil-burning and industry, silicon (Si) representing crustal material, and potassium (K) representing biomass burning. The study included 14 cohorts from across eight European countries with a total of 1,878 incident lung cancer cases and reported positive associations for all PM components except V, however, with a large degree of statistical uncertainty.⁷ Two-pollutant analyses including also total PM_{2.5} mass in the model, suggested S to be the most important component in terms of lung cancer risk. Differences in effect estimates from epidemiological studies of PM_{2.5} could partly be explained by variations in the chemical composition of PM_{2.5}.⁸

At present, no EU limit values or guidelines exist for PM components. Prevention strategies could be improved based on knowledge about the relative harm of the specific components. The objective of this study was to explore further our recently published* findings on PM_{2.5} and lung cancer incidence within the ELAPSE collaboration³ by addressing the potential association between specific elemental components of fine PM and lung cancer incidence. The present study builds on the data from the ESCAPE project and adds a longer follow-up with more than 2,000 additional incident lung cancer cases and a newly developed Europe-wide spatial land-use regression model for assessing long-term exposure to elemental particle composition.

*The paper is currently in revision

2. Methods

The methods including study population, outcome definition, and statistical analyses followed our earlier ELAPSE study.³

2.1 Study population

The ELAPSE collaboration includes nine cohorts with the following inclusion criteria: low-level air pollution data availability, relatively recent recruitment date, and ability to share data for pooling. Of these nine cohorts, seven included information on lung cancer incidence and the most important potential confounders. The cohorts originated in Sweden (*Cardiovascular Effects of Air Pollution and Noise in Stockholm* [CEANS], which is the collective name of the following four sub-cohorts: Swedish National Study on Aging and Care in Kungsholmen [SNAC-K],⁹ Stockholm Screening Across the Lifespan Twin study [SALT],¹⁰ Stockholm 60 years old study [Sixty],¹¹ and Stockholm Diabetes Prevention Program [SDPP]),¹² Denmark (*Diet, Cancer and Health cohort* [DCH]¹³ and *Danish Nurse Cohort* [DNC]¹⁴), the Netherlands (*Dutch European Investigation into Cancer and Nutrition* [EPIC-NL] consisting of EPIC-Monitoring Project on Risk Factors and Chronic Diseases in the Netherlands [EPIC-MORGEN] and [EPIC-Prospect]),¹⁵ France (*Etude Epidémiologique auprès de femmes de la Mutuelle Générale de l'Education Nationale* [E3N or EPIC-France]),¹⁶ Germany (*Heinz Nixdorf Recall study* [HNR]),¹⁷ and Austria (*Vorarlberg Health Monitoring and Prevention Programme* [VHM&PP]).¹⁸ The French E3N and the Danish DNC covered large regions of the countries, whereas the study areas of the remaining cohorts represented a large city and its surrounding areas. We harmonized the variables across the individual cohorts according to a joint codebook. All seven cohorts had information available at baseline on age, sex, smoking status, amount and duration of smoking in current smokers, body mass index (BMI), employment status and area-level socio-economic status (SES). For the E3N and VHM&PP cohorts, which only had smoking intensity and duration in classes, we created uniform distributions within the bins. Each cohort is described in more detail in the first section of online supplemental material.

2.2 Exposure assessment

In line with the ESCAPE study, we selected eight components to represent major air pollution sources: Cu, Fe and Zn representing non-tailpipe traffic emissions such as brake and tyre wear, S representing secondary inorganic aerosols from long-range transported sulfur containing fuel combustion, Ni and V representing mixed oil burning/industry emissions, Si representing crustal material, and K representing biomass burning.¹⁹

We applied Europe-wide hybrid land use regression (LUR) models, which incorporated satellite observations, dispersion model estimates, land use, traffic variables, industrial point sources, and ESCAPE air pollution monitoring data for 2010 (436 sites). The exposure modelling and validation has been described in detail previously.¹⁹ We developed PM composition models for the year 2010 using two algorithms: Supervised linear regression (SLR) and random forest. We assigned pollution surfaces (100 m x 100 m grids) from both algorithms to the baseline residential address of each of the cohort members. The models explained a moderate to large fraction of the measured concentration variation at the European scale, ranging from 41% to 91% across components. Random forest outperformed SLR in modelling between cohort variability, but the model performances were similar for the within-area

concentration variability in five-fold cross-validation.¹⁹ In the current analysis, we primarily exploit within-cohort contrasts (section 2.4). PM_{2.5} mass and NO₂ estimates were derived from hybrid LUR models which applied 2010 AirBase routine monitoring data maintained by the European Environmental Agency (EEA), predictors of satellite observations, dispersion model estimates, land use, and traffic variables, as described previously.²⁰

We truncated negative predictions to zero and a few unrealistically high predictions at close distance to industrial sources.¹⁹ We performed truncation (mainly for predictions below zero) in the main model population for SLR-modeled exposure: 11.3% for Cu, 0.5% for Fe, 11.6% for Ni, 14.3% for V and 2.6% for Zn. No truncation was needed for exposure modeled by random forest.

2.3 Outcome

We identified cases of lung cancer in cancer registries, death certificates or medical records with the exception of the E3N cohort in which self-reports from biannual questionnaires or death certificates were applied. The self-reported cases were confirmed through pathological reports and reviewed by a lung oncologist. We excluded persons registered with a cancer before baseline (except non-melanoma skin cancer). We included primary cancers located in the bronchus and the lung (ICD9 codes 162.2-162.9 and ICD10 code C34).

2.4 Statistical analyses

We applied Cox proportional hazards models and calculated hazard ratios (HR) with 95% confidence intervals (CI) using age as the underlying time scale. Each PM_{2.5} component was included as a linear function with increments of 5 ng/m³ Cu, 100 ng/m³ Fe, 50 ng/m³ K, 1 ng/m³ Ni, 200 ng/m³ S, 100 ng/m³ Si, 2 ng/m³ V, 10 ng/m³ Zn, following the increments selected in previous publications from ESCAPE and ELAPSE.⁷ Table S1 provides the interquartile ranges (IQR) for each elemental component for the pooled cohort. Our presented HRs therefore reflect a larger exposure contrast than the IQR for most elements. We censored each cohort member at time of first occurrence of any cancer other than lung cancer, date of death, emigration, loss to follow-up or at the end of follow-up, with the exception of the HNR cohort, for which we only had follow-up for lung cancer specifically and not for other cancers. We included strata per individual (sub) cohort to account for baseline hazard heterogeneity across the cohorts and to relax the proportional hazards assumption. The strata option had a superior model performance compared to alternative approaches (e.g. indicator per sub-cohort or a frailty term).^{3,21} As a consequence of applying the strata option to account for between cohort heterogeneity, we primarily evaluate within (sub) cohort exposure contrasts.

We modelled the association between each PM component and lung cancer incidence in three *á priori* specified models: 1) accounting for age (applied as the underlying time-scale), (sub) cohort ID (included as strata), sex (included as strata), and adjustment for year of enrolment in order to account for time-trends in exposure and outcome; 2) further adjusted for individual-level factors marital status (married/cohabiting, divorced, single, widowed), smoking status (never, former, current), smoking duration (years of smoking) for current smokers, smoking intensity (cigarettes/day) for current smokers, square of smoking intensity, BMI (<18.5, 18.5–24, 25–29, and 30+ kg/m²), and employment status (yes vs. no); 3) (main model) further adjusted for neighborhood-level socio-economic status (SES) defined as mean income in 2001, which was the most consistently available variable and year

across cohorts. The spatial scale of a ‘neighborhood’ varied from smaller neighborhoods and city districts (CEANS, EPIC-NL, E3N, HNR) to municipalities (DNS, DCH, and VHM&PP). We excluded participants with incomplete information on model 3 variables from all analyses. Our previous study included a comprehensive analysis testing the sensitivity of missing confounders, for example educational level, smoking intensity in former smokers, and occupational class.³

We performed analyses with exposures estimated by the SLR and the random forest algorithm. We have no prior as to which exposure model is the primary model, as both models explain within-cohort exposure contrast with similar performance. We present most analyses with the SLR exposure model results, as our previous ELAPSE paper on PM_{2.5} and lung cancer only used SLR and the current paper is a further exploration of these PM findings. The SLR model is also more comparable to the LUR models used in the ESCAPE study.

Sensitivity analyses included: 1) Fitting natural spline functions with 3 degrees of freedom to assess the shape of the association between air pollution and lung cancer; and 2) Two-pollutant models with particle components and either PM_{2.5} mass or NO₂ as the second pollutant, with NO₂ representing traffic exhaust emission which is of special relevance for the analyses of associations with the traffic non-exhaust components Cu, Fe and Zn. The PM_{2.5} mass and NO₂ estimates were developed with the SLR algorithm.²⁰

We evaluated violation of the proportional hazards assumption of the Cox Models for all covariates by test of a non-zero slope in a generalized linear regression of the scaled Schoenfeld residuals on time. We performed all analyses in R version 3.4.0 using packages: *survival*, *coxme*, *Matrix*, *foreach*, *glmnet*, *multcomp*, *survey*, *splines*, *Hmisc*, *mfp*, *VIM*, *ggplot2*, *frailtySurv*, *survsim*, *eha*, *stamod*.

Results

The pooled study population comprised 306,104 individuals and 3,916 incident lung cancer events during 5,541,672 person-years of follow-up (Table 1). The recruitment period of participants ranged over the period 1985–2005 and the mean age at baseline ranged from 41.7 to 72.5 years across the individual (sub) cohorts with a pooled mean of 48.3 years. Four sub cohorts included women only and the pooled cohort comprised 34 % men. Current smokers at baseline ranged from 13 to 37 % across the individual (sub) cohorts with a pooled percentage of 24. Overweight or obese participants varied from 21 % in the French E3N cohort to 73 % in the German HNR cohort.

The exposure distribution of each PM_{2.5} component according to (sub) cohort is provided in Figure 1. Overall, the exposure concentrations were lower in the North European cohorts compared to more Southern cohorts, with the exception of PM_{2.5} Ni, Si, and V. We observed a substantial exposure contrast within each (sub) cohort for PM_{2.5} Cu, Fe, Ni, Si, and V. Overall, exposure derived by SLR and random forest were similar, but large differences were found in individual cohorts between the two exposure algorithms (Figure 1). The correlations of the PM_{2.5} components with PM_{2.5} mass and NO₂ varied considerably from low to moderate across the (sub) cohorts (online Tables S2 and S3). The correlations with NO₂ were generally higher than with PM_{2.5} mass with coefficients > 0.8 for Cu, Fe, and Si in some (sub) cohorts. The correlations between the specific PM_{2.5} components varied substantially across individual (sub) cohorts (Table S4).

The linear associations between PM_{2.5} components and lung cancer incidence in the three models of increasing confounder adjustments are presented in Table 2 for SLR modelled exposure. We observed a positive association between exposure to all components considered and lung cancer incidence. Overall, adjustment for the individual-level confounders attenuated the HRs substantially (model 2). Further adjustment for area-level income resulted in small increases or no change in the HR (model 3). In the fully adjusted model 3, we found positive associations for all components, which were statistically significant for K, Ni, S, and V. The splines were generally linear to supra-linear and did not indicate a level below which no association was present (Figure 2). The model 3 estimates for analyses, in which we applied the random forest exposure, is presented in Figure 3. For most components, the HR point estimates were similar for random forest compared to exposures modelled by SLR, but random forest estimates generally had wider confidence intervals, because of the smaller predicted exposure contrast.

The estimates were generally unaffected by adjustment for NO₂ in the two-pollutant models, except for an increase in the HR for PM_{2.5} S to 1.31 (95% CI 1.17, 1.48) (Table 3). The NO₂ estimate was generally attenuated by adjustment for each component (Table S5). After adjustment for PM_{2.5} mass, associations with PM_{2.5} K, Ni, S and V remained positive and (borderline) statistically significant. The HR for PM_{2.5} Si was attenuated towards the null. Associations for PM_{2.5} Cu, Fe and Zn were null or somewhat negative after adjustment for PM_{2.5} mass. The HR for PM_{2.5} mass remained stable after adjustment for the PM_{2.5} Si component and slightly reduced by adjustment for PM_{2.5} K and V (Table S5). Adjustment for PM_{2.5} S reduced the PM_{2.5} point estimate to unity whereas the estimate was slightly increased following adjustment for PM_{2.5} Cu, Fe, and Zn.

Discussion

The results of this study point towards an elevated risk of lung cancer following exposure to several PM_{2.5} components. The positive relationships between all components and lung cancer remained in analyses taking into account NO₂, which is consistent with the weak associations observed between NO₂ and lung cancer.³ Adjustment for PM_{2.5} mass attenuated the point estimates for some components, but a positive relationship remained for PM_{2.5} K, Ni, V, and S. The positive associations with S, K and V were also found with exposures modelled with random forest. In contrast, the associations with Ni were essentially null with exposures by random forest. Thus, the results indicate an influence primarily of sources of biomass burning (K) and industrial and fuel-oil combustion particles (Ni, V) and long-range transported secondary inorganic aerosols from sulfur-containing fossil fuel combustion (S) in relation to lung cancer incidence.

Few previous studies have addressed the association between individual PM_{2.5} components and lung cancer incidence. Our results are generally in line with those previously published from the ESCAPE collaboration,⁷ although the ESCAPE estimates were less precise with wide confidence bounds and no evidence of an association between PM_{2.5} V and lung cancer was found. With regards to PM_{2.5} S, our point estimate of 1.22 (95% CI 1.11, 1.33) is somewhat lower than the corresponding result from ESCAPE of 1.34 (95% CI 0.74, 2.42) per 200 ng/m³. In the current study we included six cohorts from the ESCAPE study and now performed a pooled analysis instead of cohort-specific analyses followed by meta-analysis. By increasing the follow-up time, we were able to include more than twice as many lung cancer cases compared to the ESCAPE study. We furthermore had a more harmonized Europe-wide exposure model and were able to use a larger part of some cohorts, such as DCH and E3N, because of the new exposure model. Results from the American Cancer Prevention Study (II) and the Harvard Six Cities study also suggested an elevated risk of lung cancer mortality in relation to sulfate exposure.^{4,5} In analyses within the National Particle Component Toxicity (NPACT) initiative, a clear association between PM_{2.5} S and lung cancer mortality was observed, but not for Fe, K, Ni, Si, Zn, or V.⁶ In analyses according to source categories, the coal combustion category contributed most strongly to lung cancer mortality.⁶

Our results were most consistent for PM_{2.5} S, Ni, V, and K, which could either reflect an impact of the component itself or of its dominant sources. PM_{2.5} S is mostly present as sulfate in the particle phase, mostly formed in the atmosphere by oxidation of sulfur dioxide, which is emitted by combustion of sulfur-containing fuels. Sulfates are concentrated in fine particles and therefore able to be transported over long distances, resulting in a high background concentration with limited small-scale spatial variation. S may also represent other fine particle components formed simultaneously, such as polycyclic aromatic hydrocarbons (PAH) which have been linked to inflammation and lung cancer previously.^{22,23} Ni and V both represent combustion of fuel oil/industrial emissions with shipping and oil refineries being the major sources. Our new exposure models reflect the major sources for Ni and V better than in the ESCAPE study, because the models profit from observations in multiple study areas whereas the study-specific ESCAPE models did not always contain the known major sources or were based on few sites influenced by these sources. PM_{2.5} K is used as a tracer of wood/biomass burning, but is also affected by soil. In the K model, no information on local wood burning is included because of lack of predictor data. We did not find evidence for an association of PM_{2.5} Cu, Fe and Zn with lung cancer. Cu, Fe and Zn are predominantly markers of non-exhaust emissions of motorized road traffic, related to road, brake and tyre wear. In our earlier ELAPSE paper, we also did not find evidence that NO₂ was associated with lung cancer in this population.³

Overall, PM_{2.5} has been linked to lung cancer through mechanisms of oxidative stress and pulmonary inflammation leading to DNA damage, promotion of cell turnover and proliferation in the lung tissue. Also, epigenetic changes of the genome, and in particular promotor hypermethylation, are suspected of mediating the effects of air pollutants on lung cancer.²⁴ In support of our findings regarding specific PM components, ambient vanadium has previously been linked with an increase in certain biological markers for oxidative DNA damage,^{25,26} and to *in vivo* lung tumor promotion in mice.²⁷ Likewise, nickel has been associated with carcinogenicity through oxidative stress and epigenetic mechanisms.²⁸ PM_{2.5} S has been related to lung cancer risk through mechanisms of DNA methylation changes.^{29,30}

Strengths of our study included the large sample size obtained by pooling seven cohorts combined with detailed information on individual lifestyle, and thus, the ability to include a broad range of potential confounders harmonized across cohorts for this specific project. The cohorts covered a large part of Europe and represented a broad range of exposure and the large sample size enabled multi-pollutant models to disentangle potential inter-dependencies between pollutants. The exposure models developed within the ELAPSE collaboration ensured comparable exposure estimates for the entire study population. However, the application of a model for exposure assignment inevitably imposes some misclassification due to uncertainties in input data and because exposure modelled at the residential address does not necessarily represent the true personal exposure. Our exposure was modelled for the year 2010 and applied to the baseline year of each cohort. The majority of (sub) cohorts had their baselines during the 1990's. In our previous paper on NO₂, PM_{2.5}, black carbon, and ozone in relation to lung cancer incidence, we applied exposure back-extrapolated to the baseline of each (sub) cohort.³ The Spearman correlation coefficient between the 2010 exposure concentration and the exposure back-extrapolated to baseline was 0.76 for PM_{2.5} and we observed lower though still statistically significant effect estimates for back-extrapolated PM_{2.5} exposures compared to the main approach of 2010-exposures. We were not able to back-extrapolate individual PM_{2.5} component exposure to the baseline because of insufficient information on concentrations of PM_{2.5} components in Europe over time. Previous studies from Europe have found the spatial distribution of NO₂ and traffic intensities to be stable over several years,^{31–33} which suggests that the spatial contrast for traffic-related components such as Cu and Fe may be relatively constant over time. However, we are not able to draw conclusions about the temporal and spatial pattern of the remaining components. An issue in two-pollutant models is that associations are more readily identified with the more precisely modelled component compared to a less precisely modelled component.³⁴ In addition, we lacked information on personal activity patterns (work place address, time spent indoors/outside etc.) as well as moving patterns from baseline until end of follow-up. Again, the results on PM_{2.5} mass and lung cancer incidence from the previous study did not indicate major differences between estimates based on exposure applied to the address history compared to the main 2010 exposure.³

In conclusion, the results of this study point towards an increased risk of lung cancer in connection with sources of combustion particles rather than non-exhaust traffic emissions. The observed association with PM_{2.5} S, indicates that secondary inorganic aerosols from long-range transported sulfur-containing fossil fuel combustion may also contribute to the lung cancer incidence. Specific limit values or guidelines targeting these PM_{2.5} components may prove helpful in future lung cancer prevention strategies.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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