

Does psychological resilience mediate the relation between daily functioning and prefrailty status?

Rossi, Gina; Costenoble, Axelle; Knoop, Veerle; Debain, Aziz; Smeys, Celeste; Bautmans, Ivan; Verte, Dominique; De Vriendt, Patricia; Gorus, Ellen

Published in:
International Psychogeriatrics

DOI:
[10.1017/S1041610221001058](https://doi.org/10.1017/S1041610221001058)

Publication date:
2022

License:
CC BY-NC-ND

Document Version:
Accepted author manuscript

[Link to publication](#)

Citation for published version (APA):

Rossi, G., Costenoble, A., Knoop, V., Debain, A., Smeys, C., Bautmans, I., Verte, D., De Vriendt, P., & Gorus, E. (2022). Does psychological resilience mediate the relation between daily functioning and prefrailty status? *International Psychogeriatrics*, 34(3), 253-262. [34(3)]. <https://doi.org/10.1017/S1041610221001058>

Copyright

No part of this publication may be reproduced or transmitted in any form, without the prior written permission of the author(s) or other rights holders to whom publication rights have been transferred, unless permitted by a license attached to the publication (a Creative Commons license or other), or unless exceptions to copyright law apply.

Take down policy

If you believe that this document infringes your copyright or other rights, please contact openaccess@vub.be, with details of the nature of the infringement. We will investigate the claim and if justified, we will take the appropriate steps.

1 DOES PSYCHOLOGICAL RESILIENCE MEDIATE THE RELATION BETWEEN DAILY FUNCTIONING
2 AND PREFRAILTY STATUS?

3
4 Axelle Costenoble^{a,b,1}; Gina Rossi^{c,2}; Veerle Knoop^{a,b,3}; Aziz Debain^{a,b,d,4}; Celeste
5 Smeys^d; Ivan Bautmans^{a,b,c,5}; Dominique Verté^{a,e,6}; Patricia De Vriendt^{a,b,f,7}; Ellen Gorus^{a,b,c,8}
6 ⁸ on behalf of the Gerontopole Brussels Study Group^g.

Formatted: English (United States)

Formatted: English (United States)

7
8 ^aFrailty in Ageing (FRIA) research department, Vrije Universiteit Brussel (VUB), Laarbeeklaan
9 103, B-1090 Brussels, Belgium; ^bGerontology department, VUB, Laarbeeklaan 103, B-1090
10 Brussels, Belgium; ^cPersonality and Psychopathology Research Group, Faculty of Psychology
11 and Educational Sciences, VUB, Brussels, Belgium; ^dGeriatrics department, Universitair
12 Ziekenhuis Brussel (UZ Brussel), Laarbeeklaan 101, B-1090 Brussels, Belgium; ^eBelgian
13 Ageing Studies research group, VUB, Belgium; ^fArteveldehogeschool, Ghent, Belgium.
14 ^gMembers of the Gerontopole Brussels Study group: Ivan Bautmans (FRIA, VUB)
15 ivan.bautmans@vub.be; Dominique Verté (Belgian Ageing Studies BAST, VUB)
16 dominique.verte@vub.be; Ingo Beyer (Geriatric Medicine department, UZ Brussel)
17 ingo.beyer@uzbrussel.be; Mirko Petrovic (ReFrail, UGent) mirko.petrovic@ugent.be; Nico De
18 Witte (Belgian Ageing Studies BAST, VUB) nico.de.witte@vub.be; Tinie Kardol (Leerstoel
19 Bevordering Active Ageing, VUB) mjmcardol@hotmail.com; Gina Rossi (Clinical and Lifespan
20 Psychology KLEP, VUB) grossi@vub.be; Peter Clarys (Physical Activity and Nutrition PANU,
21 VUB) pclarys@vub.be; Aldo Scafoglieri (Experimental Anatomy EXAN, VUB)
22 aldo.scafoglieri@vub.be; Eric Cattrysse (Experimental Anatomy EXAN, VUB) ecattrys@vub.be;
23 Paul de Hert (Fundamental Rights and Constitutionalism Research group FRC, VUB)
24 paul.de.hert@vub.be; Bart Jansen (Department of Electronics and Informatics ETRO, VUB)
25 bart.jansen@vub.be

26
27 Address correspondence to Ellen Gorus, PhD, Gerontology (GERO) and FRIA Research
28 Departments, VUB, Laarbeeklaan 103, B-1090 Brussels, Belgium. E-mail address:
29 ellen.gorus@vub.be.

30
31 ***Axelle Costenoble and Gina Rossi have contributed equally to this article and should be**
32 **indexed as shared first authorship.**

Formatted: Highlight



34 ¹<https://orcid.org/0000-0001-6229-0742>



35 ²<https://orcid.org/0000-0002-6803-9820>



36 ³<https://orcid.org/0000-0002-8308-4099>



37 ⁴<https://orcid.org/0000-0002-5752-6498>



38 ⁵<https://orcid.org/0000-0002-6820-9586>



39 ⁶<https://orcid.org/0000-0002-2668-8996>



⁷<https://orcid.org/0000-0003-4861-7838>



⁸<https://orcid.org/0000-0003-0054-1520>

ABSTRACT

Objectives Understanding of prefrailty's relationship with limitations in activities of daily functioning (ADLs) moderated by psychological resilience is needed, as resilience might support ADLs' maintenance and thus protect against frailty. Therefore, this study aims to analyze the influence of psychological resilience (using the Connor-Davidson Resilience Scale; CD-RISC) on the relation between ADLs and frailty status of older individuals (i.e. prefrail versus robust).

Design Cross-sectional design

Setting UZ Brussels, Belgium

Participants Robust (Fried 0/4; n=214; Age=82.3±2.1yrs) and prefrail (Fried 1-2/4; n=191; Age=83.8±3.2yrs) community-dwelling older individuals were included.

Measurements Frailty scores were obtained from weight loss, exhaustion, gait speed, and grip strength. A total Disability Index (DI) expressed dependency for basic (b-), instrumental (i-), and advanced (a-)ADLs. Mediation was investigated by estimating direct and indirect effects of all levels of ADLs and CD-RISC total score on prefrailty/robustness using a stepwise multiple regression approach.

Results Prefrailty/robustness significantly correlated with a-ADL-DI (point-biserial correlation (rpb)=0.098; p<0.05). Adjusted for age and gender, the a-ADL-DI (p<0.05) had a significant protective direct effect against prefrailty. No effects were found with the CD-RISC total score.

Conclusions Less limitation in a-ADLs is a directly correlated factor of prefrailty and might represent a higher likelihood of robustness.

Keywords: frailty, assessment, activities of daily living, disability, resilience, older individuals, CD-RISC, daily functioning

Running title: Psychological resilience, ADLs, and prefrailty

82
83
84
85
86
87
88
89
90

91 1. INTRODUCTION

92 Frailty is defined as a state of vulnerability due to poor resolution of homeostasis following
93 distress, and is a consequence of a cumulative decline in multiple physiological systems over a
94 lifespan (Clegg et al., 2013). The physical phenotype (as a first operationalization of frailty by
95 the Cardiovascular Health Study) is a monodimensional concept described by a cumulative
96 decline in physical components, or in other words, less intrinsic physical capacity as reflected by
97 low grip strength, slow walking speed, weight loss, exhaustion, and low activity. Different states
98 of frailty can occur, ranging from non-frail, prefrail and frail individuals. Each state is typified by
99 counting negative scores on the previously mentioned physical components using cutoff values
100 (Morley et al., 2013, Fried et al., 2001). In the prefrail state, which is defined as a clinically silent
101 process that predisposes individuals to frailty (Rasiah et al., 2020), it is important to maintain or
102 improve functional independence, as prefrailty is considered to be reversible (Travers et al.,
103 2019). There is a prominent substantially increasing mortality risk for non-frail, prefrail and frail
104 older adults, with 21.4%, 45.6%, and 72.7%, respectively, showing that research to prevent
105 frailty is needed (Ruiz-Grao et al., 2020). In the prevention and treatment of frailty, a positive
106 approach focuses on the plasticity and capacity for improvement of older persons (Pickard et
107 al., 2019). Such a 'strength-based approach' to aging addressing older individuals' strengths
108 and abilities is needed (Minimol, 2016, van der Vorst et al., 2017), and fits well with the more
109 positive view of the healthy aging concept of the World Health Organization (WHO), which is
110 defined as the process of developing and maintaining the functional ability that enables well-
111 being in older age (WHO, 2015). This is also in line with the view of the older persons
112 themselves. Specifically, in a recent qualitative study on the perceptions of frailty, older
113 individuals emphasized that a shift is needed from frailty toward independence, resilience and
114 autonomy in holding off frailty and maintaining quality of life (Pan et al., 2019).

115
116 It is important to maintain meaningful activities of daily living (ADLs) as they are benefactors for
117 quality of life (Goldberg et al., 2002), and prevent morbidity, such as dementia (Griep et al.,
118 2017), and even mortality (Haak et al., 2019). In an oldest-old sample it was clearly shown that
119 a higher frequency in leisure activities (including watching TV, playing cards, reading, keeping
120 domestic animals or pets, gardening and attending religious activities) was associated with a
121 lower mortality risk (Li et al., 2020). Being engaged in meaningful activities enables people to do
122 what they value and requires an adequate intrinsic capacity, ranging from physical performance
123 to mental and cognitive skills (WHO, 2015). Therefore, continuing to perform activities, on all
124 levels from basic (b-) (Katz et al., 1963) to instrumental (i-) (Lawton and Brody, 1969) and
125 advanced (a-) (De Vriendt et al., 2012) activities of daily living (ADL)s might help to support
126 healthy aging. The b-ADLs, such as washing yourself, getting dressed, etc., are basic [activities](#)
127 [to care for basic](#) physiological and self-maintenance needs [required to stay alive](#) (Katz et al.,
128 1963), whereas i-ADLs (e.g., shopping) are more complex, require more high-level skills, and
129 are needed to live independently (Lawton and Brody, 1969). Finally, the a-ADLs are the most

complex activities, unique and specific to each individual, influenced by cultural and motivational factors, such as hobbies, gardening, etc. (De Vriendt et al., 2012). Consequently, each level requires more skills to perform the activities. Within the three levels of functioning, which were stratified according to difficulty and complexity, the a-ADLs thus require more advanced skills compared to i- and b-ADLs (Reuben and Solomon, 1989). According to Zamudio-Rodríguez et al. (2020), the hierarchical pattern of dependency and frailty starts from a robust, healthy stage, and is followed by either a “pure” frailty stage (no dependencies), or a stage of limitations in i-ADLs without frailty. Thirdly, a stage of limitations in i-ADLs with frailty follows, and finally a frailty stage with limitations in both i- and b-ADLs. The limitations in a-ADLs should also be considered in the context of this pattern.

Also, a person’s psychological resilience plays an important role in healthy aging. Psychological resilience is defined as the ability to adapt positively to changing life circumstances. It is a dynamic process evolving over time that specifically allows us to face difficulties by recovering an initial balance or bouncing back as an opportunity for growth (Sisto et al., 2019). Mendoza-Nunez and Vivaldo-Martinez (2019), for example, suggested that psychological resilience strengthens and enhances human capacities during aging. Psychological resilience contributes to active participation of older adults and therefore to the maintenance of functioning and the prevention of health-related diseases. Furthermore, the intervention of Treichler et al. (2020) showed that psychological resilience can increase at an older age and has the potential to enhance health and wellbeing. Psychological resilience is negatively associated with frailty (Kohler et al., 2020, Wong et al., 2021). Improved psychological resilience might protect against frailty and vice versa, as corroborated in a sample of patients with cirrhosis (Wong et al., 2021). Also, older individuals are more inclined to communicate about positive aspects such as their autonomy and psychological resilience, as opposed to their deficits. At the same time, the literature on resilience in older adults is still in a very early stage and the literature on prefrailty is nonexistent, thus requiring more in-depth investigation (Pan et al., 2019).

The scarce evidence indicates that engagement in meaningful activities, functional independency and psychological resilience are all important abilities in older age and show promise as factors that counteract (pre)frailty. The question remains of how they interact together. To date, the Chinese Longitudinal Healthy Longevity Survey showed that after 3 years of follow-up, a higher level of psychological resilience reduced the risk of limitation in b-ADLs in older adults aged 65 years and older (Yang and Wen, 2015). Also, high resilience according to the Asset and Health Dynamics study was associated with independency in i-ADLs in community-dwelling older individuals (Hardy et al., 2004). So far, studies are restricted to b- and i-ADLs and as of yet no research has been performed on the relationship of psychological resilience and a-ADLs in older age. There is a lack of knowledge of the extent to which psychological resilience can account for the relation between ADLs and frailty, particularly in a prefrail state, which is considered to be reversible (Travers et al., 2019). Therefore, the aim of this study was to contribute to the understanding of prefrailty’s relationship with daily functioning by determining whether psychological resilience mediates the relation between daily functioning and the frailty status in prefrail and robust older individuals.

2. METHODS

2.1 STUDY DESIGN AND PARTICIPANTS

Data of the “Brussels sTudy on The Early pRedictors of FrailtY” (BUTTERFLY) was analyzed. This is a study of the Gerontopole Brussels consortium directed by the Frailty in Ageing and

Belgian Ageing studies research groups of the Vrije Universiteit Brussel (Belgium). The ethical committee of UZ Brussel (B.U.N. 143201421976) approved the study and all participants provided informed consent. A sample of 494 community-dwelling octogenarians participated between February 2015 and June 2019, and 405 participants were eligible for this study. Older individuals aged 80 years and over were eligible when they were living independently in the community; able to walk; not having cognitive disabilities (i.e. unable to understand the test instructions and/or Mini-Mental State Examination (MMSE) <23/30 (Folstein, 1975)); not recently diagnosed with cancer (within previous 6 months); not recently having undergone surgery, radiotherapy and/or chemotherapy (within previous 6 months); and not frail according to three different frailty measurement tools: the Groningen Frailty Indicator <4/15 (Steverink et al., 2001), the Rockwood Frailty Index <0.25/10 (Collerton et al., 2012) and/or the adapted version of the Fried Frailty Phenotype (FFP) <3/4 (exhaustion, weight loss, gait speed and grip strength), as in Cao Dinh et al. (2019). For the FFP, exhaustion was first measured according to the original Fried phenotype assessing two questions from the Center for Epidemiological Studies–Depression (CES-D) Scale (Orme et al., 1986) translated into Dutch or French: “How often in the last week did you feel this way?” (1) “I felt that everything I did was an effort” and (2) “I could not get going.” Participants could answer the questions with “rarely or none of the time” (0); “some or a little of the time” (1); “a moderate amount of time” (2); or “most of the time” (3). When participants obtained a score of 2 (a moderate amount of time) or 3 (most of the time) on either of the two questions, one point was granted for the criterion “exhaustion.” Second, weight loss was evaluated through the self-reported question: “In the last six months, have you lost more than 4.5 kg unintentionally?” which was answered by “yes” (1) or “no” (0). Next, the criterion “gait speed” was assessed using a sex and height stratified timing of a 4.5-m walking distance (Fried et al., 2001). Participants were scored 1 point if their walking time exceeded or was equal to 7 s for men ≤173 cm and women ≤159 cm, and if their time exceeded or was equal to 6 s for men >173 cm and women >159 cm. Finally, grip strength was assessed using the Martin Vigorimeter (Sipers et al., 2016). The cut-off values were ≥71 kPa for men and ≥42 kPa for women. Participants who scored lower than the aforementioned values received 1 point. Based on the FFP two groups were identified: a score of 0 indicated robustness and 1 or 2 signified pre-frailty (Sirola et al., 2011).

2.1.1 EVALUATION OF RESILIENCE

Resilience was assessed with the Connor-Davidson Resilience Scale (CD-RISC) (Connor and Davidson, 2003), a self-report questionnaire of 25 statements. A 5-point Likert scale is scored where 0 stands for not true at all, 1 rarely true, 2 sometimes true, 3 often true, and 4 true nearly all of the time. The total score ranges from 0-100 with higher scores reflecting a higher degree of resilience.

2.1.2 EVALUATION OF DAILY FUNCTIONING

The Brussels Integrated Activities of Daily Living Inventory (BIA) was used to evaluate daily functioning. The BIA consists of the b-, i-, (Cornelis et al., 2017) and shortened version of the a-ADL tool (De Vriendt et al., 2015, De Vriendt et al., 2013). The following activities were evaluated: six for the b-ADLs to survive (bathing, dressing, transferring, continence, toileting and feeding); nine at the level of i-ADLs to live independently (telephone use, using transportation, shopping, preparing food, housekeeping, doing laundry, caring for household objects, responsibility for own medications and handling finance); and at the level of a-ADLs, personally and culturally related activities, 15 types of activities were used (sophisticated kitchen activities, household appliances and daily technology, high level gardening, cognitively stimulating activities or intellectual activities, craftwork and arts, complex economic activities or

transactions, communicating by using sophisticated devices or techniques other than talking on the phone, sports, transportation by motorized vehicles, self-development/self-realization/self-educational activities, going on a holiday, caring for or assisting others, intimate relationships, caring for household objects, and semi-professional work; engagement in organized social life or leisure activities). Appendix A defines all ADL items. Firstly, each activity from b-, i- and a-ADLs is reviewed for their relevance by asking the participants whether they performed the activity during the past 10 years. If this is not the case, that activity is not taken into account for further evaluation. Secondly, if the activity is relevant, the participants are asked how they perform the activity currently. Based on the narratives of the participants, the researcher assigns a score according to a five-point scale ranging from 0 (no difficulty to perform) to 4 (unable to perform), to weigh the quality of the activity's performance (WHO, 2001): 0 (no difficulty to perform an activity), 1 (mild problems in functioning, slower, less frequently), 2 (sometimes help is needed), 3 (continuous help is needed) and 4 (the person does not perform the activity anymore). Through this evaluation of daily functioning a global disability index (DI) can be calculated for each ADL-level (b-, i- and a-ADL), expressed as percentages, where higher percentages indicate more disability.

2.1.3 CHARACTERISTICS

Next to resilience and daily functioning the following characteristics were examined: gender, age, education (<6, 6-9, 9-12, and >12 years), number of medications, living circumstances (living together, living alone), total score of the Geriatric Depression Scale (Yesavage and Sheikh, 2008) and total Montreal Cognitive Assessment score (Nasreddine et al., 2005).

2.2 STATISTICS

Firstly, sample characteristics were analyzed using means with standard deviation (SD) for continuous variables and frequencies and percentages for categorical variables. Statistical differences between robust and prefrail participants were analyzed by the χ^2 test for categorical and independent sample *T*-test for continuous variables in SPSS version 26.0. Effect sizes were calculated for percentages (Cohen's *h*; small: ≥ 0.10 ; medium ≥ 0.30 ; and large ≥ 0.50) and means (Cohen's *d*; small: ≥ 0.30 ; medium ≥ 0.50 ; and large ≥ 0.80) (Cohen, 2009) between robust and prefrail groups.

Secondly, the extent to which resilience can account for relations between ADLs and frailty status was investigated (figure 1). In RStudio missing data were handled by multivariate imputation with chained equations (Mice package). Point-biserial correlation (pbr) between the dichotomous independent variable, prefrailty/robustness and the scores of limitations in ADLs and resilience as dependent variables were calculated as a measure of effect sizes (Rosnow and Rosenthal, 1996). Correlations between continuous variables (CD-RISC and limitations in ADLs) were calculated by Pearson correlations. The *r* effect sizes according to Cohen (2009) were used for the interpretation (small: ≥ 0.10 ; medium ≥ 0.30 ; and large ≥ 0.50). Next, the PROCESS macro of Preacher and Hayes (2008) with a stepwise approach using multiple regression analysis was conducted. An accelerated-bias-corrected bootstrapping method with 5,000 estimates was used, to investigate whether limitations in ADLs have an indirect effect on prefrailty/robustness through psychological resilience (figure 1). Confidence intervals were displayed for all effects indicating statistical significance when the interval did not include zero. Total effect of ADLs on prefrailty is represented through path C, which can be split up in a direct (c') and an indirect (c-c') effect path.

3. RESULTS

3.1 CHARACTERISTICS

The final sample consisted of 405 study participants after excluding participants with cognitive disabilities ($n=9$), missing tests ($n=4$) and frailty ($n=76$). Of those, 214 participants (82.3 ± 2.1 years) were robust and 191 prefrail (83.8 ± 3.2 years). As shown in table 1, significantly more men were present in the prefrail group compared to women. Prefrail participants were significantly older in comparison to the robust. No significant differences were found in the CD-RISC score and ADLs.

3.2 CORRELATIONS

Correlations were conducted to determine the relationship between limitations in ADLs (b-, i- and a), resilience (total score of 25 items) and one's robust or prefrail state (table 2). There was a significant positive correlation between a-ADL-DI and the state of the person (robust or prefrail) ($rpb=0.098$; $p<0.05$), implying that higher limitations in a-ADLs were significantly correlated with a higher likelihood of being prefrail, though the effect size is limited (a small effect size of 0.098 for a-ADL-DI). For the total CD-RISC score, no significant correlation was found with frailty status but a significant negative correlation represented with a-ADL-DI ($rpb=-0.16$; $p<0.01$; small effect size). This indicates that higher scores of psychological resilience were correlated with a lower score in a-ADL-DI, or in other words, less limitations in a-ADLs.

3.3 PROCESS MACROS ACCORDING TO HAYES

Table 3 shows the direct and indirect effects of path C according to the process macros of Preacher and Hayes (2008) when controlling for age and gender. An effect is significant if the confidence interval does not include zero. No indirect effects (path c-c') of resilience were found between limitations in ADLs and prefrailty/robustness. However, a significant direct effect (path c') was found between a-ADL-DI and the prefrail/robust group when controlling for the total CD-RISC score. It was also investigated whether limitations in ADLs had an indirect effect on the relation between resilience and prefrailty/robustness, to no avail.

4. DISCUSSION

This study aimed to examine the extent to which psychological resilience in older individuals accounts for the relation between limitations in ADLs and the robust and prefrailty status. Therefore, the potential effects of psychological resilience on the relation between three types of limitations in ADLs (b-, i- and a-ADLs) and prefrailty status were clarified using mediation analyses.

One significant direct effect of limitations in a-ADLs on robustness/prefrailty was found. More dependencies in a-ADLs represented a higher likelihood of prefrailty and vice versa. Psychological resilience had no (in)direct effect on the prefrailty state. How this fits into the existing literature on resilience is hampered by the fact that studies assessing resilience use different measures (Windle et al., 2011), making comparability and generalizability difficult. However, our results clearly demonstrated a correlation between limitations in a-ADLs (such as hobbies, gardening, driving, reading, etc.) and prefrailty/robustness, leading to a direct effect of the a-ADL-DI on prefrailty (though it should be remarked that the correlation coefficient of 0.10 is a small effect).

One of frailty's negative health outcomes, next to hospitalization and early mortality (Ruiz-Grao et al., 2020), is without doubt limitations in ADLs, although clearly depending on how ADLs are defined. Most studies only focus on b- and i-ADLs while our study also includes a-ADLs. Since in the studies the a-ADLs are mostly absent, it is undefined which level of ADLs (b-, i- or a-ADLs) starts to be limited before, during or after the occurrence of frailty. The higher the ADLs'

level, the more skills are required to perform the related activities (Reuben and Solomon, 1989). Subsequently, it could be hypothesized that limitations in a-ADLs might be predictors, limitations in i-ADLs characteristics, and at last limitations in b-ADLs' outcomes of frailty. These hypotheses are based on the hierarchical process of loss in ADLs, known as "the functional continuum," where limitations start in i-ADLs (mostly transporting and shopping), and are followed by limitations in b-ADLs (e.g., eating) (Edjolo et al., 2016, Barberger-Gateau et al., 2000). Two recent articles applied this model to frailty (Hoogendijk et al., 2019, Zamudio-Rodriguez et al., 2020), describing the entire disablement process in frailty, though limited to b- and i-ADLs. Our results can extend the knowledge of this disablement process by introducing limitations in a-ADLs as red flags signifying vulnerability to early problems (e.g., prefrailty) as their performance requires complex skills. Research in other domains showed promising results. In early disease monitoring, incremental changes in function are already seen as a meaningful measure. For example, in Alzheimer's disease, the more complex activities, such as managing paperwork, are specifically sensitive to the earliest cognitive changes (Dubbelman et al., 2020) and may be an indicator of cognitive decline when limited. Also, Wilkins et al. (2021) support this by showing that everyday preferences are important predictors for older adults with cognitive impairment. In particular, the social engagement preferences domain (regular contact with family, volunteering, meeting new people, outdoor tasks, exercise, etc.), or in other words several a-ADLs, were significantly rated in everyday living and are important for older individuals with MCI. This could also be the case for frailty's syndrome and must be further investigated as this might offer possibilities for prevention. However, it is important to mention that further research of the frail group is needed, given that both non-frail groups with limitations in i-ADLs and frail groups have the same increased risk of mortality, as well as worse survival predictions compared to robust ones (Zamudio-Rodriguez et al., 2020). However, due to the cross-sectional design of this study, further verifications are needed, such as longitudinal analyses, to determine whether limitations in a-ADLs indeed represent red flags for early stages of the continuum.

Regarding the association between daily functioning and psychological resilience, other studies found that limitations in b-ADLs (e.g., toileting, dressing) and i-ADLs (e.g., shopping, cooking, using transportation) are associated with psychological resilience (Yang and Wen, 2015, Hardy et al., 2004) in community-dwelling older individuals, indicating that high psychological resilience is related to more independence. In this study, a significant negative correlation between a-ADL-DI and the total resilience score occurred. Surprisingly, no correlations of b-, and i-ADLs with resilience have emerged, which was unexpected. They should rather be negatively correlated in the same manner as the correlation found between the total CD-RISC score and limitations in a-ADLs. This could be explained by the range of limitations in ADLs, which was insufficient according to the ICF qualifiers (WHO, 2001). The whole sample scored "no dependency" on the b- and i-ADLs and "mild dependency" on a-ADLs.

Unexpectedly, no correlation or direct effect was found between the total CD-RISC score and a prefrail/robust status when controlled for age and gender. Prefrailty did not relate to lower resilience scores and vice versa in this study. This is opposite to Salem et al. (2014), who found an association using the Resilience Scale of Wagnild and Young (1993) and frailty as a latent construct combining physical, psychological and social domains. Also, similar to the study of Freitag and Schmidt (2016), psychological resilience could be withheld as a protective factor for frailty in community-dwelling older individuals. However, most research regarding resilience focused on frailty, whereas we included prefrail participants. We argue that more studies are needed especially focusing on prefrailty, because the state has a lot of potential due to being a reversible state, and thus may be sensitive to preventive approaches (Travers et al., 2019).

Moreover, psychological resilience had no indirect effect on the relation between limitations in ADLs and prefrailty. This indicates that psychological resilience does not play a mediating role. This was unexpected since in previous studies associations with limitations in ADLs (Yang and Wen, 2015, Hardy et al., 2004) and (pre)frailty (Kohler et al., 2020, Wong et al., 2021) were found. Kohler et al. (2020), for example, found an association in 65-year-olds in geriatric rehabilitation using the Brief Resilience Scale (Smith et al., 2008) and the Frailty Index from comprehensive geriatric assessments (Searle et al., 2008). However, it is clear that it is difficult to compare our community-dwelling sample with a geriatric population and with different measurements for both resilience and frailty. Our operationalization of frailty is purely physical according to the FFP, and the aforementioned scale includes more domains.

Some remarks should be made concerning the way resilience was measured. Research on psychological resilience is still in its infancy and the results can partly be explained by the lack of a golden standard on how to conceptualize psychological resilience. Two approaches are present in literature, namely a trait-oriented and an outcome/process-oriented approach (Chmitorz et al., 2018). We opted for the stable trait-oriented approach as this cross-sectional study only allows one measurement and focuses on determinants. A dynamic outcome approach, taking into account the stressfulness of an event (e.g., hospital admission, illness) or resilience as a process, might yield different results. Kohler et al. (2020) already demonstrated the convenience of an outcome-based approach in the relation between frailty and psychological resilience using the Brief Resilience Scale, which takes into account one's ability to recover from stress. However, evidence is still scarce as the explained variance of scales analyzing resilience as an outcome still lacks systematic clearance. On top of that, it is a challenge to find the exact moment when the stressor occurs and when the assessment should take place (Chmitorz et al., 2018). Also, due to the cross-sectional design of this study, findings must be carefully interpreted since causality cannot be established. [Moreover, it should be noted that daily functioning was assessed via self-reports, which might give an overestimation of the scores. Occupational therapy assessment or performance-based measures could give extra insights to these results. In the diagnosis of cognitive disorders it was already showed that both have a similar discriminatory power, but this needs to be further investigated in frailty](#) (Cornelis et al., 2018). However, the study is unique in the sense that we included a large sample size of older individuals aged 80 years and over, the fastest growing population group. Most existing studies in older adults include persons 65 years old and over with only small samples of the oldest old (Mello et al., 2014). Contrary to this article's limitations, a key strength was the analysis of daily functioning, since all possible levels of ADLs were explored ranging from b-, i- and especially a-ADLs.

To conclude, we hypothesized that psychological resilience might serve as a mediator to explain that limitations in ADLs significantly discriminate between the prefrail and the robust participants. This hypothesis could not be confirmed but we demonstrated that a better performance of a-ADLs is a directly influencing and correlated factor of robustness and prefrailty (however, this must be carefully interpreted due to the cross-sectional design and the limited effect size of the correlations). Loss in ADLs can serve as a "red flag" to detect (pre)frailty. This might indicate that the "disablement process" combining limitations in ADLs and stages of frailty can further be completed by including the most complex a-ADLs next to i- and b-ADLs. The hierarchical process of frailty and dependency starts from a healthy stage (a), and further develops into a mild stage (b) consisting of either: physical frailty ("pure" frailty) or a stage of limitations in i-ADLs without frailty. This is followed by a moderate stage (c) where frailty is combined with limitations in i-ADLs, and finally a severe stage (d) that incorporates frailty with limitations in both i- and b-ADLs (Zamudio-Rodriguez et al., 2020). Future research (but also

417 interventions) should take into account the influence ADLs, and in particular a-ADLs in the mild
418 stage of the disablement process.
419

420 CONFLICT OF INTEREST DECLARATION
421 None.
422

423 DESCRIPTION OF AUTHORS' ROLES
424 - Axelle Costenoble Writing - Original Draft; Methodology; Formal analysis; Investigation;
425 Visualization
426 - Gina Rossi; Writing - Original Draft; Methodology; Formal analysis; Visualization;
427 Supervision; Writing - Review & Editing
428 - Veerle Knoop; Investigation; Writing - Review & Editing
429 - Aziz Debain; Investigation; Writing - Review & Editing
430 - Celeste Smeys; Investigation; Writing - Review & Editing
431 - Ivan Bautmans; Conceptualization; Funding acquisition; Writing - Review & Editing;
432 Project administration; Supervision
433 - Dominique Verté; Conceptualization; Funding acquisition; supervision; Writing - Review
434 & Editing
435 - Patricia De Vriendt Supervision; Conceptualization; Writing - Original Draft; Writing -
436 Review & Editing; Formal analysis; Visualization
437 - Ellen Gorus; Supervision; Conceptualization; Writing - Original Draft; Writing - Review &
438 Editing; Formal analysis; Visualization
439
440 on behalf of the Gerontopole Brussels Study Group.

441 ACKNOWLEDGEMENTS
442 This study was partly funded by an "Interdisciplinary Research Program" (IRP) grant from the
443 research council of the Vrije Universiteit Brussel. No sponsor's role present in this article. Due to
444 IPR the data are not made publicly available. Requests to access the data should be addressed
445 to the Butterfly project PI prof Ivan Bautmans (ivan.bautmans@vub.be).
446
447

448 5. REFERENCES

449 BARBERGER-GATEAU, P., RAINVILLE, C., LETENNEUR, L. & DARTIGUES, J. F. 2000. A hierarchical model of
450 domains of disablement in the elderly: a longitudinal approach. *Disabil Rehabil*, 22, 308-17.
451 doi:10.1080/096382800296665.
452 CAO DINH, H., et al. 2019. Association Between Immunosenesence Phenotypes and Pre-frailty in Older
453 Subjects: Does Cytomegalovirus Play a Role? *J Gerontol A Biol Sci Med Sci*, 74, 480-488.
454 doi:10.1093/gerona/gly135.

Formatted: French (Belgium)

CHMITORZ, A., et al. 2018. Intervention studies to foster resilience - A systematic review and proposal for a resilience framework in future intervention studies. *Clin Psychol Rev*, 59, 78-100. doi:10.1016/j.cpr.2017.11.002.

CLEGG, A., YOUNG, J., ILIFFE, S., RIKKERT, M. O. & ROCKWOOD, K. 2013. Frailty in elderly people. *The Lancet*, 381, 752-762. doi:10.1016/S0140-6736(12)62167-9.

COHEN, J. 2009. *Statistical power analysis for the behavioral sciences*, Hillsdale, NY, Erlbaum.

COLLERTON, J., et al. 2012. Frailty and the role of inflammation, immunosenescence and cellular ageing in the very old: Cross-sectional findings from the Newcastle 85+ Study. *Mechanisms of Ageing and Development*, 133, 456-466. doi:10.1016/j.mad.2012.05.005.

CONNOR, K. M. & DAVIDSON, J. R. T. 2003. Development of a new resilience scale: the Connor-Davidson Resilience Scale (CD-RISC). *Depression and Anxiety*, 18, 76-82. doi:10.1002/da.10113.

CORNELIS, E., GORUS, E., BEYER, I., BAUTMANS, I. & DE VRIENDT, P. 2017. Early diagnosis of mild cognitive impairment and mild dementia through basic and instrumental activities of daily living: Development of a new evaluation tool. *PLOS Medicine*, 14, e1002250. doi:10.1371/journal.pmed.1002250.

CORNELIS, E., GORUS, E., VAN WEVERBERGH, K., BEYER, I. & DE VRIENDT, P. 2018. Convergent and concurrent validity of a report- versus performance-based evaluation of everyday functioning in the diagnosis of cognitive disorders in a geriatric population. *Int Psychogeriatr*, 30, 1837-1848. doi:10.1017/S1041610218000327.

DE VRIENDT, P., et al. 2013. The advanced activities of daily living: a tool allowing the evaluation of subtle functional decline in mild cognitive impairment. *J Nutr Health Aging*, 17, 64-71. doi:10.1007/s12603-012-0381-9.

DE VRIENDT, P., et al. 2012. The process of decline in advanced activities of daily living: a qualitative explorative study in mild cognitive impairment. *Int Psychogeriatr*, 24, 974-86. doi:10.1017/S1041610211002766.

DE VRIENDT, P., METS, T., PETROVIC, M. & GORUS, E. 2015. Discriminative power of the advanced activities of daily living (a-ADL) tool in the diagnosis of mild cognitive impairment in an older population. *International Psychogeriatrics*, 27, 1419-1427. doi:10.1017/S1041610215000563.

DUBBELMAN, M. A., et al. 2020. Decline in cognitively complex everyday activities accelerates along the Alzheimer's disease continuum. *Alzheimers Res Ther*, 12, 138. doi:10.1186/s13195-020-00706-2.

EDJOLO, A., PROUST-LIMA, C., DELVA, F., DARTIGUES, J. F. & PERES, K. 2016. Natural History of Dependency in the Elderly: A 24-Year Population-Based Study Using a Longitudinal Item Response Theory Model. *Am J Epidemiol*, 183, 277-85. doi:10.1093/aje/kwv223.

FOLSTEIN, M., FOLSTEIN, SE., MCHUGH, PR. 1975. "Mini-mental state". A practical method for grading the cognitive state of patients for the clinician. *J Psychiatr Res*, 12, 189-198.

FREITAG, S. & SCHMIDT, S. 2016. Psychosocial Correlates of Frailty in Older Adults. *Geriatrics (Basel)*, 1. doi:10.3390/geriatrics1040026.

FRIED, L. P., et al. 2001. Frailty in older adults: evidence for a phenotype. *J Gerontol A Biol Sci Med Sci*, 56, M146-56. doi:10.1093/gerona/56.3.m146.

GOLDBERG, B., BRINTNELL, E. S. & GOLDBERG, J. 2002. The Relationship Between Engagement in Meaningful Activities and Quality of Life in Persons Disabled by Mental Illness. *Occupational Therapy in Mental Health*, 18, 17-44. doi:10.1300/J004v18n02_03.

Formatted: Dutch (Belgium)

Formatted: Dutch (Belgium)

Formatted: Dutch (Belgium)

Formatted: French (Belgium)

499 GRIEP, Y., et al. 2017. Can volunteering in later life reduce the risk of dementia? A 5-year longitudinal
500 study among volunteering and non-volunteering retired seniors. *PLoS One*, 12, e0173885.
501 doi:10.1371/journal.pone.0173885.

502 HAAK, M., LOFQVIST, C., ULLEN, S., HORSTMANN, V. & IWARSSON, S. 2019. The influence of
503 participation on mortality in very old age among community-living people in Sweden. *Aging Clin*
504 *Exp Res*, 31, 265-271. doi:10.1007/s40520-018-0947-4.

505 HARDY, S. E., CONCATO, J. & GILL, T. M. 2004. Resilience of community-dwelling older persons. *J Am*
506 *Geriatr Soc*, 52, 257-62. doi:10.1111/j.1532-5415.2004.52065.x.

507 HOOGENDIJK, E. O., et al. 2019. A New Functional Classification Based on Frailty and Disability Stratifies
508 the Risk for Mortality Among Older Adults: The FRADEA Study. *J Am Med Dir Assoc*.
509 doi:10.1016/j.jamda.2019.01.129.

510 KATZ, S., FORD, A. B., MOSKOWITZ, R. W., JACKSON, B. A. & JAFFE, M. W. 1963. Studies of illness in the
511 aged. The index of ADL: a standardized measure of biological and psychosocial function. *The*
512 *Journal of the American Medical Association*, 185, 914-9.

513 KOHLER, S., RAMETTA, R., POULTER, M., VOGGIN, S. & YATES, P. 2020. Resilience, frailty and outcomes in
514 geriatric rehabilitation. *Australas J Ageing*, 39, e205-e209. doi:10.1111/ajag.12754.

515 LAWTON, M. P. & BRODY, E. M. 1969. Assessment of older people: self-maintaining and instrumental
516 activities of daily living. *Gerontologist*, 9, 179-86.

517 LI, Z. H., et al. 2020. Leisure Activities and All-Cause Mortality Among the Chinese Oldest-Old Population:
518 A Prospective Community-Based Cohort Study. *J Am Med Dir Assoc*, 21, 713-719 e2.
519 doi:10.1016/j.jamda.2019.08.003.

520 MELLO, A. D. C., ENGSTROM, E. M. & ALVES, L. C. 2014. Health-related and socio-demographic factors
521 associated with frailty in the elderly: a systematic literature review. *Cadernos de Saúde Pública*,
522 30, 1143-1168. doi:10.1590/0102-311X00148213.

523 MENDOZA-NUNEZ, V. M. & VIVALDO-MARTINEZ, M. 2019. Community Gerontology Model for Healthy
524 Aging Developed in Mexico Framed in Resilience and Generativity. *J Cross Cult Gerontol*, 34, 439-
525 459. doi:10.1007/s10823-019-09385-5.

526 MINIMOL, K. 2016. Risk Assessment and Strengths Based Case Management in Elderly Care— Scope of
527 Social Work Practice. *Artha - Journal of Social Sciences*, 15, 121-133. doi:10.12724/ajss.37.7.

528 MORLEY, J. E., et al. 2013. Frailty consensus: a call to action. *J Am Med Dir Assoc*, 14, 392-7.
529 doi:10.1016/j.jamda.2013.03.022.

530 NASREDDINE, Z. S., et al. 2005. The Montreal Cognitive Assessment, MoCA: a brief screening tool for
531 mild cognitive impairment. *Journal of the American Geriatrics Society*, 53, 695-699.
532 doi:10.1111/j.1532-5415.2005.53221.x.

533 ORME, J. G., REIS, J. & HERZ, E. J. 1986. Factorial and discriminant validity of the Center for
534 Epidemiological Studies Depression (CES-D) scale. *J Clin Psychol*, 42, 28-33.

535 PAN, E., BLOOMFIELD, K. & BOYD, M. 2019. Resilience, not frailty: A qualitative study of the perceptions
536 of older adults towards "frailty". *Int J Older People Nurs*, 14, e12261. doi:10.1111/opn.12261.

537 PICKARD, S., et al. 2019. New horizons in frailty: the contingent, the existential and the clinical. *Age*
538 *Ageing*, 48, 466-471. doi:10.1093/ageing/afz032.

539 PREACHER, K. J. & HAYES, A. F. 2008. Asymptotic and resampling strategies for assessing and comparing
540 indirect effects in multiple mediator models. *Behav Res Methods*, 40, 879-91.
541 doi:10.3758/brm.40.3.879.

542 RASIAH, J., et al. 2020. Prefrailty in older adults: A concept analysis. *Int J Nurs Stud*, 108, 103618.
543 doi:10.1016/j.ijnurstu.2020.103618.

544 REUBEN, D. B. & SOLOMON, D. H. 1989. Assessment in geriatrics. Of caveats and names. *J Am Geriatr*
545 *Soc*, 37, 570-2. doi:10.1111/j.1532-5415.1989.tb05691.x.

Formatted: Dutch (Belgium)

Formatted: French (Belgium)

ROSNOW, R. L. & ROSENTHAL, R. 1996. Computing contrasts, effect sizes, and counternulls on other people's published data: General procedures for research consumers. *Psychological Methods*, 1, 331-340. doi:10.1037/1082-989x.1.4.331.

RUIZ-GRAO, M. C., et al. 2020. Frailty, depression risk, and 10-year mortality in older adults: the FRADEA study. *Int Psychogeriatr*, 1-10. doi:10.1017/S1041610220003506.

SALEM, B. E., et al. 2014. Constructing and identifying predictors of frailty among homeless adults-a latent variable structural equations model approach. *Arch Gerontol Geriatr*, 58, 248-56. doi:10.1016/j.archger.2013.09.005.

SEARLE, S. D., MITNITSKI, A., GAHBAUER, E. A., GILL, T. M. & ROCKWOOD, K. 2008. A standard procedure for creating a frailty index. *BMC Geriatr*, 8, 24. doi:10.1186/1471-2318-8-24.

SIPERS, W., VERDIJK, L. B., SIPERS, S. J. E., SCHOLS, J. & VAN LOON, L. J. C. 2016. The Martin Vigorimeter Represents a Reliable and More Practical Tool Than the Jamar Dynamometer to Assess Handgrip Strength in the Geriatric Patient. *Journal of the American Medical Directors Association*, 17, 7. doi:10.1016/j.jamda.2016.02.026.

SIROLA, J., PITKALA, K. H., TILVIS, R. S., MIETTINEN, T. A. & STRANDBERG, T. E. 2011. Definition of frailty in older men according to questionnaire data (RAND-36/SF-36): The Helsinki Businessmen study. *The journal of nutrition, health & aging*, 15, 783-787. doi:10.1007/s12603-011-0131-4.

SISTO, A., et al. 2019. Towards a Transversal Definition of Psychological Resilience: A Literature Review. *Medicina (Kaunas)*, 55. doi:10.3390/medicina55110745.

SMITH, B. W., et al. 2008. The brief resilience scale: assessing the ability to bounce back. *Int J Behav Med*, 15, 194-200. doi:10.1080/10705500802222972.

STEVERINK, N., SLAETS, J. P. J., SCHUURMANS, H. & VAN LIS, M. 2001. Measuring frailty: Developing and testing the GFI (Groningen frailty indicator). *Gerontologist*, 41, 236-237.

TRAVERS, J., ROMERO-ORTUNO, R., BAILEY, J. & COONEY, M. T. 2019. Delaying and reversing frailty: a systematic review of primary care interventions. *Br J Gen Pract*, 69, e61-e69. doi:10.3399/bjgp18X700241.

TREICHLER, E. B. H., et al. 2020. A pragmatic trial of a group intervention in senior housing communities to increase resilience. *Int Psychogeriatr*, 32, 173-182. doi:10.1017/S1041610219002096.

VAN DER VORST, A., et al. 2017. Explaining discrepancies in self-reported quality of life in frail older people: a mixed-methods study. *BMC Geriatr*, 17, 251. doi:10.1186/s12877-017-0641-y.

WAGNILD, G. M. & YOUNG, H. M. 1993. Development and psychometric evaluation of the Resilience Scale. *J Nurs Meas*, 1, 165-78.

WHO 2001. *International Classification of Functioning, Disability and Health*, Geneva, World Health Organisation.

WHO. 2015. *World report on ageing and health* [Online]. Available: http://apps.who.int/iris/bitstream/handle/10665/186463/9789240694811_eng.pdf;jsessionid=80597619065F423E5FE4E780F4B345C5?sequence=1 [Accessed February 7 2020].

WILKINS, J. M., et al. 2021. Predictors of the importance of everyday preferences for older adults with cognitive impairment. *Int Psychogeriatr*, 1-8. doi:10.1017/S1041610220003956.

WINDLE, G., BENNETT, K. M. & NOYES, J. 2011. A methodological review of resilience measurement scales. *Health Qual Life Outcomes*, 9, 8. doi:10.1186/1477-7525-9-8.

WONG, R. J., et al. 2021. Psychological contributors to the frail phenotype: The association between resilience and frailty in patients with cirrhosis. *Am J Transplant*, 21, 241-246. doi:10.1111/ajt.16131.

YANG, Y. & WEN, M. 2015. Psychological Resilience and the Onset of Activity of Daily Living Disability Among Older Adults in China: A Nationwide Longitudinal Analysis. *J Gerontol B Psychol Sci Soc Sci*, 70, 470-80. doi:10.1093/geronb/gbu068.

Formatted: Dutch (Belgium)

Formatted: Dutch (Belgium)

Formatted: Dutch (Belgium)

Formatted: Dutch (Belgium)

593 YESAVAGE, J. A. & SHEIKH, J. I. 2008. Geriatric Depression Scale (GDS): Recent evidence and
594 development of a shorter version. *Clinical Gerontologist*, 5, 165-173.
595 doi:10.1300/J018v05n01_09.
596 ZAMUDIO-RODRIGUEZ, A., et al. 2020. The disability process: is there a place for frailty? *Age Ageing*, 49,
597 764-770. doi:10.1093/ageing/afaa031.
598